

FUNCTIONAL PEARL

Idioms: applicative programming with effects

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Abstract

In this paper, we introduce **Idioms**—an abstract characterisation of an applicative style of effectful programming, weaker than **Monads** and hence more widespread. Indeed, it is the ubiquity of this programming pattern which drew us to the abstraction. We shall take the same course in this paper, introducing the applicative pattern by diverse examples, then abstracting it to define the **Idiom** type class and associated laws. We compare this abstraction with monoids, monads and arrows, and identify the categorical structure of idioms.

1 Introduction

This is the story of a pattern which popped up time and again in our daily work, programming in Haskell (Peyton Jones, 2003), until the point where the temptation to abstract it became irresistible. Let us illustrate with some examples.

1.1 Sequencing commands

It is not unusual to execute a sequence of commands and collect the sequence of their responses:

```
sequence :: [IO x] → IO [x]
sequence [] = return []
sequence (c : cs) = do
  x ← c
  xs ← sequence cs
  return (x : xs)
```

In the $(c : cs)$ case, we collect the values of some effectful computations, which we then use as the arguments to a pure function $(:)$. We could avoid the need for names to wire these values through to their point of usage if we had a kind of ‘effectful application’. Fortunately, exactly such a thing lives in the standard **Monad** library. We may write

```
sequence :: [IO x] → IO [x]
sequence [] = return []
sequence (c : cs) = return (:) 'ap' c 'ap' sequence cs
```

where the `return` operation, which every `Monad` must provide, lifts pure values to the effectful world, and the `ap` operation provides a kind of application within the effectful world:

```
return :: Monad m ⇒ x → m x
ap :: Monad m ⇒ m (s → t) → m s → m t
ap mf ms = do
  f ← mf
  s ← ms
  return (f s)
```

If we could filter out the noise of the returns and `aps`, we could almost imagine that we are programming in a fairly standard applicative style, even though effects are present.

1.2 Transposing ‘matrices’

Suppose we represent matrices (somewhat approximately) by lists of lists. It is not unusual to develop operations on matrices such as transposition.

```
transpose :: [[x]] → [[x]]
transpose [] = repeat []
transpose (xs : xss) = zipWith (:) xs (transpose xss)
```

Now, the binary `zipWith` is one of a family of operations which ‘vectorise’ pure functions. As Daniel Fridlander and Mia Indrika (2000) point out, the entire family can be generated from `repeat`, which generates an infinite stream from its argument, and `zapp`, a kind of ‘zippy’ application.

```
repeat :: x → [x]
repeat x = x : repeat x
zapp :: [s → t] → [s] → [t]
zapp (f : fs) (s : ss) = f s : zapp fs ss
zapp _ _ = []
```

The general scheme is as follows:

```
zipWithn :: (s1 → … → sn → t) → [s1] → … → [sn] → [t]
zipWithn f ss1 … ssn = repeat f 'zapp' ss1 'zapp' … 'zapp' ssn
```

In particular, transposition becomes

```
transpose :: [[x]] → [[x]]
transpose [] = repeat []
transpose (xs : xss) = repeat (:) 'zapp' xs 'zapp' transpose xss
```

If we could filter out the noise of the repeats and `zapps`, we could almost imagine

that we are programming in a fairly standard applicative style, even though we are working with vectors.

1.3 Evaluating expressions

When implementing an evaluator for a language of expressions, it is not unusual to pass around an environment, giving values to the free variables. Here is a very simple example

```

data Exp x = Var x
           | Val Int
           | Add (Exp x) (Exp x)

eval :: Exp x → Env x → Int
eval (Var x)   γ = fetch x γ
eval (Val i)   γ = i
eval (Add p q) γ = eval p γ + eval q γ

```

where `Env x` is some notion of environment and `fetch x` projects the value for the variable `x`.

Threading the environment explicitly clutters the code unnecessarily, but we can remedy the situation with a little help from some very old friends:

```

eval :: Exp x → Env x → Int
eval (Var x)   = fetch x
eval (Val i)   =  $\mathbb{K}$  i
eval (Add p q) =  $\mathbb{K}$  (+) ‘ $\mathbb{S}$ ‘ eval p ‘ $\mathbb{S}$ ‘ eval q

```

where

```

 $\mathbb{K}$  :: x → env → x
 $\mathbb{K}$  x γ = x

 $\mathbb{S}$  :: (env → s → t) → (env → s) → (env → t)
 $\mathbb{S}$  ef es γ = (ef γ) (es γ)

```

If we could filter out the noise of the \mathbb{K} and \mathbb{S} combinators¹, we could almost imagine that we are programming in a fairly standard applicative style, even though we are abstracting over an environment.

1.4 Parser combinators

This isn't an example of a transpose — perhaps it belongs later?

A similar interface was proposed for parsers by Røjemo (1995) and developed by Swierstra and colleagues (Swierstra & Duponcheel, 1996; Baars *et al.*, 2004). Two primitive components are used to implement each production of a grammar:

```

succeed :: x → Parser x
( $\otimes$ )   :: Parser (s → t) → Parser s → Parser t

```

¹ also known as the `return` and `ap` of the ‘reader’ Monad

These productions generally take the form

$$\text{succeed } \textit{semantics} \otimes \textit{parser}_1 \otimes \dots \otimes \textit{parser}_n$$

where the \textit{parser}_i are the parsers for successive components of the production and $\textit{semantics}$ is the pure function which delivers the value of the whole from the values of the parts.

If we could filter out the noise of the `succeeds` and \otimes s, we could almost imagine that we are programming in a fairly standard applicative style, even though we are combining parsers.

2 The Idiom class

We have seen four examples of this ‘pure function applied to funny arguments’ pattern in apparently quite diverse fields—let us now abstract out what they have in common. In each example, there is a type constructor i which embeds the usual notion of value, but supports its *own peculiar way* of giving meaning to the usual applicative language—its *idiom*. We correspondingly introduce the `Idiom` class:

```
infixl 4  $\otimes$ 
class Idiom  $i$  where
   $\iota$    ::  $x \rightarrow i\ x$ 
  ( $\otimes$ ) ::  $i\ (s \rightarrow t) \rightarrow i\ s \rightarrow i\ t$ 
```

This class generalises \mathbb{S} and \mathbb{K} from threading an environment to threading an effect in general.

We shall require the following laws for idioms:

```
identity            $\iota\ \text{id} \otimes u = u$ 
composition       $\iota\ (\cdot) \otimes u \otimes v \otimes w = u \otimes (v \otimes w)$ 
homomorphism      $\iota\ f \otimes \iota\ x = \iota\ (f\ x)$ 
interchange       $u \otimes \iota\ x = \iota\ (\lambda f \rightarrow f\ x) \otimes u$ 
```

These laws capture the intuition that ι embeds pure computations into the pure fragment of an effectful world—the resulting computations may thus be shunted around freely, as long as the order of the genuinely effectful computations is preserved.

Exercise 1 (Idioms functorial)

Use these laws to show that the following function makes any idiom a functor:

```
lift1 :: Idiom  $i \Rightarrow (a \rightarrow b) \rightarrow i\ a \rightarrow i\ b$ 
lift1  $f\ u = \iota\ f \otimes u$ 
```

Using these laws, any expression built from the `Idiom` combinators can be transformed to a canonical form in which a single pure function is ‘applied’ to the effectful parts in depth-first order:

$$\iota\ f \otimes \textit{is}_1 \otimes \dots \otimes \textit{is}_n$$

Exercise 2 (canonical form)

Show how this is done. You will need all four laws. *Hint*: devise a four-phase algorithm to perform the transformation, with one phase for each law.

This canonical form captures the essence of programming in an `Idiom`—computations have a fixed structure, given by the pure function, and a sequence of subcomputations, given by the effectful arguments. We therefore find it convenient, at least within this paper, to write this form using a special notation

$$\llbracket f \text{ is}_1 \dots \text{ is}_n \rrbracket$$

The brackets indicate a shift into an `Idiom` where a pure function is applied to a sequence of computations. Our intention is to provide a sufficient indication that effects are present without compromising the readability of the code.

Exercise 3 (Coding `\llbracket \dots \rrbracket`)

Given the functionality of Glasgow Haskell Compiler’s `-fglasgow-exts` option, show how to replace ‘`\llbracket`’ and ‘`\rrbracket`’ by identifiers `ll` and `lr` whose computational behaviour delivers the above expansion. *Hint*: define an overloaded function `idiomatic` such that

$$\text{idiomatic } u \text{ is}_1 \dots \text{ is}_n \text{ ll} = u \otimes \text{is}_1 \otimes \dots \otimes \text{is}_n$$

Any `Monad` can be made an `Idiom`, taking

instance `Idiom MyMonad where`

```

ι           = return
mf ⊗ ms    = do
  f ← mf
  s ← ms
  return (f s)

```

In fact, we could also choose to perform `mf` after `ms`, which would preserve the structure of the computation but reverse the effects. We shall work left-to-right in this paper. Taking the monadic `Idiom` for `IO` and `(→) s`, we get what we expect for `sequence` and `eval`:

```

sequence :: [IO x] → IO [x]
sequence []    = \llbracket \rrbracket
sequence (c : cs) = \llbracket (: c (sequence cs)) \rrbracket

```

```

eval :: Exp x → Env x → Int
eval (Var x)    = fetch x
eval (Val i)    = \llbracket i \rrbracket
eval (Add p q) = \llbracket (+) (eval p) (eval q) \rrbracket

```

If we want to do the same for our `transpose` example, we shall have to take an instance for `Idiom []` which supports ‘vectorisation’, rather than the library’s ‘list of successes’ (Wadler, 1985) monad:

instance `Idiom [] where`

```

ι     = repeat
(⊗)  = zapp

```

```

transpose :: [[x]] → [[x]]
transpose [] = [[]]
transpose (xs : xss) = [(:) xs (transpose xss)]

```

Exercise 4 (the colist Monad)

Although `repeat` and `zapp` are not the `return` and `ap` of the usual `Monad []` instance, they are none the less the `return` and `ap` of an alternative monad, more suited to the coinductive interpretation of `[]`. What is the `join :: [[x]] → [x]` of this monad? Comment on the relative efficiency of this monad's `ap` and our `zapp`.

3 Threading Idioms through IFunctors

Have you noticed that `sequence` and `transpose` now look rather alike? The details which distinguish the two programs are inferred by the compiler from their types. Both are instances of the *idiom distributor* for lists:

```

idist :: Idiom i ⇒ [i x] → i [x]
idist [] = [[]]
idist (ix : ixs) = [(:) ix (idist ixs)]

```

It is not unusual to combine distribution with ‘map’. For example, we can map some failure-prone operation (a function in $s \rightarrow \text{Maybe } t$) across a list of inputs in such a way that any individual failure causes failure overall.

```

instance Idiom Maybe where -- the usual return and ap
  ι x = Just x
  Just f ⊗ Just s = Just (f s)
  _ ⊗ _ = Nothing
flakyMap :: (s → Maybe t) → [s] → Maybe [t]
flakyMap f ss = idist (fmap f ss)

```

As you can see, `flakyMap` traverses `ss` twice—once to apply `f`, and again to collect the results. More generally, it is preferable to define this idiomatic mapping operation directly, with a single traversal:

```

imap :: Idiom i ⇒ (s → i t) → [s] → i [t]
imap f [] = [[]]
imap f (x : xs) = [(:) (f x) (imap f xs)]

```

This is just the way you would implement the ordinary `fmap` for lists, but with the right-hand sides wrapped in `[(···)]`, lifting them into the idiom. Just like `fmap`, `imap` is a useful gadget to have for many data structures, hence we introduce the type class `IFunctor`, capturing functorial data structures through which idioms thread:

```

class IFunctor f where
  imap :: Idiom i ⇒ (s → i t) → f s → i (f t)
  idist :: Idiom i ⇒ f (i x) → i (f x)
  idist = imap id

```

Of course, we can recover an ordinary ‘map’ operator by taking `i` to be the *identity* idiom—the usual applicative idiom in which all computations are pure:

```
newtype Id x = An{ an :: x }
```

Haskell’s **newtype** declarations allow us to shunt the syntax of types around without changing the run-time notion of value or incurring any run-time cost. The ‘labelled field’ notation allows us to define the projection $\text{an} :: \text{Id } x \rightarrow x$ at the same time as the constructor $\text{An} :: x \rightarrow \text{Id } x$. The usual applicative idiom has the usual application:

```
instance Idiom Id where
  \iota x          = An x
  An f \otimes An s = An (f s)
```

So, with the **newtype** signalling which idiom to thread, we have

$$\text{fmap } f = \text{an} \cdot \text{imap } (\text{An} \cdot f)$$

The rule-of-thumb ‘**imap** is like **fmap** but with $\llbracket \dots \rrbracket$ on the right’ is good for first-order type constructors, such as lists, trees,

```
data Tree x = Leaf | Node (Tree x) x (Tree x)
instance IFunctor Tree where
  imap f Leaf      = \llbracket Leaf \rrbracket
  imap f (Node l x r) = \llbracket Node (imap f l) (f x) (imap f r) \rrbracket
```

and even ‘nested’ types, like the de Bruijn λ -terms (Bird & Paterson, 1999), parametrised by their type of free variables:

```
data Term x = TVar x
             | TApp (Term x) (Term x)
             | TLam (Term (Maybe x))
instance IFunctor Term where
  imap g (TVar x)  = \llbracket TVar (g x) \rrbracket
  imap g (TApp f s) = \llbracket TApp (imap g f) (imap g s) \rrbracket
  imap g (TLam t)  = \llbracket TLam (imap (imap g) t) \rrbracket
```

Exercise 5 (distributing Term and Maybe)

What does the specialised function $\text{idist} :: \text{Term } (\text{Maybe } x) \rightarrow \text{Maybe } (\text{Term } x)$ tell you about a term with a free variable?

However, not every **Functor** is an **IFunctor**.

Exercise 6 (Functor versus IFunctor)

Find a functor whose **imap**, if it were well-defined, would solve the Halting Problem.

We are far from the first to consider this distribution of one functor through another in a general way. Paul Hoogendijk and Roland Backhouse (1997) construct ‘half-zip’ operations in a relational setting. These distribute one regular type constructor through another, requiring and preserving ‘compatibility of shape’—matrix transpose is a key example.

In a functional setting, Lambert Meertens (1998) exhibits sufficient criteria on functors i to yield an idist -like operator, taking $f (i x) \rightarrow i (f x)$ for every regular functor f (that is, ‘ordinary’ uniform datatype constructors with one parameter,

constructed by recursive sums of products). Idiom i certainly satisfy these criteria, hence Meertens confirms our intuition that at least the regular type constructors can all be made instances of `IFunctor`.

Curiously, monads are not Meertens' primary example of functors to thread through a data structure, although he does suggest that this might always work. Rather, he seeks to generalise accumulation or 'crush' operators, such as flattening trees and summing lists. We shall turn to these in the next section.

4 Monoids: the Phantom Idioms

The data which one may sensibly accumulate have the `Monoid` structure:

```
class Monoid o where
  () :: o
  (⊕) :: o → o → o
```

These operations must satisfy the usual laws:

```
left identity    () ⊕ x = x
right identity   x ⊕ () = x
associativity    (x ⊕ y) ⊕ z = x ⊕ (y ⊕ z)
```

The functional programming world is full of monoids—numeric types (with respect to zero and plus, or one and times), lists with respect to `[]` and `++`, and many others—so generic technology for working with them could well prove to be useful. Fortunately, every monoid induces an idiom, albeit in a slightly peculiar way:

```
newtype Accy o x = Acc { acc :: o }
```

`Accy o x` is a *phantom* type (Leijen & Meijer, 1999)—its values have nothing to do with x , but it does yield the idiom of accumulating computations:

```
instance Monoid o ⇒ Idiom (Accy o) where
  ι _ = Acc ()
  Acc o1 ⊗ Acc o2 = Acc (o1 ⊕ o2)
```

Now 'crushing' is just traversing an `IFunctor`, in the same way as with any other idiom, just as Meertens suggested:

```
icrush :: (IFunctor f, Monoid o) ⇒ (x → o) → f x → o
icrush m = acc · imap (Acc · m)
isum :: (IFunctor f, Monoid o) ⇒ f o → o
isum = icrush id
```

Operations like flattening and concatenation become straightforward:

```
flatten :: Tree x → [x]
flatten = icrush (:[])
concat :: [[x]] → [x]
concat = isum
```


We can extract even more work from instance inference if we use the type system to distinguish different monoids available for a given datatype. Here, we use the disjunctive structure of `Bool` to test for the presence of an element satisfying a given predicate:

```
newtype Mighty = Might{might :: Bool}
instance Monoid Mighty where
  ∅ = Might False
  Might b ⊕ Might c = Might (b ∨ c)
any :: IFunctor f ⇒ (x → Bool) → f x → Bool
any p = might · icrush (Might · p)
elem :: (Eq x, IFunctor f) ⇒ x → f x → Bool
elem x = any (≡ x)
```

This `elem` function behaves as usual for lists, but it is just as effective at telling whether a variable in `x` occurs free in a `Term x`.

Meanwhile, `Bool` also has a conjunctive structure:

```
newtype Musty = Must{must :: Bool}
instance Monoid Musty where
  ∅ = Must True
  Must b ⊕ Must c = Must (b ∧ c)
all :: IFunctor f ⇒ (x → Bool) → f x → Bool
all p = must · icrush (Must · p)
boundedBy :: (Ord x, IFunctor f) ⇒ x → f x → Bool
boundedBy x = all (≤ x)
```

Exercise 7 (every Ord induces a Monoid)

Show how every instance of `Ord` induces an instance of `Monoid`. Use `icrush` to define the partial function

```
max :: (IFunctor f, Ord x) ⇒ f x → x
```

computing the greatest `x` contained in its input and undefined if there are none.

Exercise 8 (adverbial programming)

Define an overloaded operator `ily` such that

```
fmap = An 'ily' imap
icrush = Acc 'ily' imap
any = Might 'ily' icrush
all = Must 'ily' icrush
```

and so on.

5 Idiom versus Monad?

We have seen that every `Monad` can be made an `Idiom` via `return` and `ap`. Indeed, our three introductory examples of idioms involved the `IO` monad, the ‘reader’ monad

(\rightarrow) s and a (non-standard) monad for (coinductive) lists. However, the `Accy o` idioms are not monadic: `return` can deliver \emptyset , but if you try to define

$$(\gg) :: \text{Accy } o \ s \rightarrow (s \rightarrow \text{Accy } o \ t) \rightarrow \text{Accy } o \ t$$

you'll find it tricky to extract an s from the first argument—all you get is an o . Correspondingly, there is no way to apply the second argument, and hence no way to accumulate its output. The \otimes for `Accy o` is not the `ap` of a monad.

So now we know: there are strictly more `Idioms` than `Monads`. Should we just throw the `Monad` class away and use `Idiom` instead? Of course not! The reason there are fewer monads is just that the `Monad` structure is more powerful. Intuitively, the $(\gg) :: m \ s \rightarrow (s \rightarrow m \ t) \rightarrow m \ t$ of some `Monad m` allows the value returned by one computation to influence the choice of another, whereas \otimes keeps the structure of a computation fixed, just sequencing the effects. For example, one may write

```
miffy :: Monad m => m Bool -> m t -> m t -> m t
miffy mb mt me = do
  b <- mb
  if b then mt else me
```

so that the value of mb will choose between the *computations* mt and me , performing only one, whilst

```
iffy :: Idiom i => i Bool -> i t -> i t -> i t
iffy ib it ie = [cond ib it ie] where
  cond b t e = if b then t else e
```

performs the effects of all three computations, using the value of ib to choose only between the *values* of it and ie . This can be a bad thing: for example,

$$\text{iffy } [\text{True}] [t] \text{ Nothing} = \text{Nothing}$$

where

$$\text{miffy } [\text{True}] [t] \text{ Nothing} = [t]$$

However, if you are working with `miffy`, it is probably because the condition is an expression with effectful components, so the idiom syntax provides quite a convenient extension to the monadic toolkit:

```
miffy [(<=) getSpeed getSpeedLimit] stepOnIt check4Cops
```

The moral is this: if you've got an `Idiom`, that's good; if you've also got a `Monad`, that's even better! And the dual of the moral is this: if you want a `Monad`, that's good; if you only want an `Idiom`, that's even better! The weakness of idioms makes them easier to construct from components. In particular, although only certain pairs of monads are composable (Barr & Wells, 1984), the `Idiom` class is *closed under composition*,

$$\text{newtype } (i \circ j) \ x = \text{Comp} \{ \text{comp} :: (i \ (j \ x)) \}$$

just by lifting the inner idiom operations to the outer idiom layer:

instance (Idiom i , Idiom j) \Rightarrow Idiom ($i \circ j$) **where**
 ιx = $\text{Comp } \llbracket (\iota x) \rrbracket$
 $\text{Comp } f; j \oplus \text{Comp } s; j = \text{Comp } \llbracket (\oplus) f; j s; j \rrbracket$

As a consequence, the composition of two monads may not be a monad, but it is certainly an idiom. For example, $\text{IO} \circ \text{Maybe}$ is an idiom in which computations have a notion of ‘failure’ and ‘prioritised choice’, even if their ‘real world’ side-effects cannot be undone.

Exercise 9 (monads and accumulation)

We began this section by observing that $\text{Accy } o$ is not a monad. Given $\text{Monoid } o$, define $\text{Accy } o$ as the composition of two monadic idioms.

6 Idioms lifting Monoids

Idioms, IFunctors and Monoids give us the basic building blocks for a lot of routine programming. Every Idiom i can be used to lift monoids, as follows

instance (Idiom i , Monoid o) \Rightarrow Monoid ($i \circ o$) **where**
 \emptyset = $\llbracket \emptyset \rrbracket$
 $xc \oplus yc$ = $\llbracket (\oplus) xc yc \rrbracket$

although we shall have to choose individually the idioms i for which we apply this scheme. If we let IO lift monoids in this way, then we acquire the sequential composition of commands for the price of the trivial monoid:

instance Monoid () **where**
 \emptyset = ()
 $- \oplus -$ = ()

Now one of the many specialised types of isum is $[\text{IO } ()] \rightarrow \text{IO } ()$. What does it do? Well, you know how to traverse a list, you know how to thread input/output, and you know how to combine (), so what do you think it does?

Which idioms should lift monoids? It would be disconcerting if the default \oplus for $[x]$ were other than $++$. Our rule of thumb is to prefer any natural monoid structure possessed by an idiom, but to lift monoids if no such structure presents itself. Correspondingly, we allow the environment-threading ‘reader’ to lift monoids pointwise: this is equivalent to taking

instance Monoid $o \Rightarrow$ Monoid ($s \rightarrow o$) **where**
 \emptyset = $\lambda s \rightarrow \emptyset$
 $f \oplus g$ = $\lambda s \rightarrow f s \oplus g s$

Pointwise lifting is quite powerful. For a start, it makes the well-known parser type from (Hutton & Meijer, 1998)

$$\text{String} \rightarrow [(x, \text{String})]$$

a monoid without further ado.

With a little more effort, we can use lifted Boolean monoids to do testing by the batch. For example,

```

elemInCommon :: Eq x => [x] -> [x] -> Bool
elemInCommon xs ys = might ((λx y -> Might (x ≡ y)) `icrush` xs `icrush` ys)

```

tests whether two lists have an element in common. The first `icrush` computes a batch of tests—one for each x in xs —and combines them disjunctively; the second `icrush` applies the combined test to each y in ys and takes the disjunction of the results. Note that the only structure we require of lists here is `IFunctor []`. Correspondingly, we could type this function to operate on arbitrary traversible data structures without changing its code.

One casualty of our choice to let the (\rightarrow) s idiom lift monoids is the library choice to make endomorphisms $s \rightarrow s$ a monoid with respect to `id` and `(·)`. We think that wrapping endomorphisms in a **newtype** is a small price to pay for our iterable pointwise lifting, especially as `id` and `flip (·)` also make endomorphisms a monoid.

Exercise 10 (fast reverse)

Use the `'flip (·)'` monoid to define the `'fast reverse'` function as an `icrush` on lists.

7 Lost Sheep

We can also take the product of idioms, taking a pair of notions of computation to a notion of pairs of computations.

```

data (i ⊠ j) x = i x ⊠ j x
instance (Idiom i, Idiom j) => Idiom (i ⊠ j) where
    ι x                = ι x ⊠ ι x
    (f i ⊠ f j) ⊗ (s i ⊠ s j) = (f i ⊗ s i) ⊠ (f j ⊗ s j)

```

7.1 Combining IFunctors

Just drop this? The `IFunctor` class is closed under the usual mechanisms for constructing first-order data structures. Firstly, identity and composition:

```

instance IFunctor Id where
    imap f (An x) = [[An (f x)]]
instance (IFunctor g, IFunctor h) => IFunctor (g ∘ h) where
    imap f (Comp ghx) = [[Comp (imap (imap f) ghx)]]

```

8 Idioms and Arrows

To handle situations where monads were inapplicable, Hughes (2000) defined an interface that he called *arrows*:

```

class Arrow (↗) where
    arr  :: (a -> b) -> (a ↗ b)
    (≫) :: (a ↗ b) -> (b ↗ c) -> (a ↗ c)
    first :: (a ↗ b) -> ((a, c) ↗ (b, c))

```

These structures include the ordinary function type, Kleisli arrows of monads and comonads, and much more. Equivalent structures called *Freyd-categories* had been independently developed as a device for structuring denotational semantics (Power & Robinson, 1997).

By fixing the first argument of an arrow type, we obtain an idiom, generalising the environment idiom we saw earlier:

```
newtype FixArrow ( $\rightsquigarrow$ ) a b = Fix (a  $\rightsquigarrow$  b)
instance Arrow ( $\rightsquigarrow$ )  $\Rightarrow$  Idiom (FixArrow ( $\rightsquigarrow$ ) a) where
   $\iota$  x          = Fix (arr (const x))
  Fix u  $\otimes$  Fix v = Fix (u  $\Delta$  v  $\ggg$  arr ( $\lambda$ (f, x)  $\rightarrow$  f x))
  where u  $\Delta$  v = arr dup  $\ggg$  first u  $\ggg$  arr swap  $\ggg$  first v  $\ggg$  arr swap
        dup x = (x, x)
```

In the other direction, each idiom defines an arrow constructor that adds static information to an existing arrow:

```
newtype StaticArrow i ( $\rightsquigarrow$ ) a b = Static (i (a  $\rightsquigarrow$  b))
instance (Idiom i, Arrow ( $\rightsquigarrow$ ))  $\Rightarrow$  Arrow (StaticArrow i ( $\rightsquigarrow$ )) where
  arr f          = Static  $\llbracket$ (arr f) $\rrbracket$ 
  Static f  $\ggg$  Static g = Static  $\llbracket$ ( $\ggg$ ) f g $\rrbracket$ 
  first (Static f) = Static  $\llbracket$ first f $\rrbracket$ 
```

To date, most applications of the extra generality provided by arrows over monads have been of two kinds: various forms of process, in which components may consume multiple inputs, and computing static properties of components. Indeed one of Hughes's motivations was the parsers of Swierstra and Duponcheel (1996). It may be that idioms will be a convenient replacement for arrows in the second class of applications.

9 Other definitions of Idioms

The `Idiom` class features the asymmetrical operation ' \otimes ', but there are equivalent symmetrical definitions. For example we could assume the following two constants:

```
class Lifiable i where
  unit :: i ()
  lift2 :: (a  $\rightarrow$  b  $\rightarrow$  c)  $\rightarrow$  i a  $\rightarrow$  i b  $\rightarrow$  i c
```

We can define the combinators of `Idiom` in terms of those of `Lifiable`:

```
 $\iota$       :: Lifiable i  $\Rightarrow$  x  $\rightarrow$  i x
 $\iota$  x    = lift2 (const (const x)) unit unit
( $\otimes$ )   :: Lifiable i  $\Rightarrow$  i (s  $\rightarrow$  t)  $\rightarrow$  i s  $\rightarrow$  i t

( $\otimes$ ) = lift2 id
```

Conversely, we can define the `Lifiable` interface in terms of `Idiom`:

```

unit      :: Idiom i => i ()
unit      =  $\iota$  ()
lift2    :: Idiom i => (a → b → c) → i a → i b → i c
lift2 f u v =  $\iota$  f ⊗ u ⊗ v

```

The laws for this form are somewhat complicated, but we can use a more elementary form:

```

class Functor i => MFunctor i where
  unit :: i ()
  (★) :: i a → i b → i (a, b)

```

The relationship between the `Liftable` and `MFunctor` classes is analogous to the relationship between `zipWith` and `zip`. The `Liftable` class may be defined as

```

lift2    :: MFunctor i => (a → b → c) → i a → i b → i c
lift2 f u v = fmap (uncurry f) (u ★ v)

```

and the `Functor` and `MFunctor` classes may be defined using `Liftable`:

```

fmap      :: Liftable i => (a → b) → i a → i b
fmap f u = lift2 (const f) unit u

(★)      :: Liftable i => i a → i b → i (a, b)
u ★ v    = lift2 (,) u v

```

The laws of `Idiom` given in Section 2 are equivalent to the following laws of `MFunctor`:

| | |
|----------------------------|---|
| functor identity | <code>fmap id = id</code> |
| functor composition | <code>fmap (f · g) = fmap f · fmap g</code> |
| naturality of ★ | <code>fmap (f × g) (u ★ v) = fmap f u ★ fmap g v</code> |
| left identity | <code>fmap snd (unit ★ v) = v</code> |
| right identity | <code>fmap fst (u ★ unit) = u</code> |
| associativity | <code>fmap assoc (u ★ (v ★ w)) = (u ★ v) ★ w</code> |

for the functions

```

(×) :: (a → b) → (c → d) → (a, c) → (b, d)
(f × g) (x, y) = (f x, g y)
assoc :: (a, (b, c)) → ((a, b), c)
assoc (a, (b, c)) = ((a, b), c)

```

Fans of category theory will recognise the above laws as the properties of a *lax monoidal functor* for the monoidal structure given by products. However the functor composition and naturality equations are actually stronger than their categorical counterparts. This is because we are working in a higher-order language, in which function expressions may include variables from the environment, as in the following definition:

```

 $\iota$  :: MFunctor i => x → i x
 $\iota$  x = fmap (const x) unit

```

Exercise 11 (MFunctor and swap)

Use the MFunctor laws and the above definition of ι to prove the equation

$$\text{fmap swap } (\iota x \star u) = u \star \iota x$$

for the function

$$\begin{aligned} \text{swap} &:: (a, b) \rightarrow (b, a) \\ \text{swap } (a, b) &= (b, a) \end{aligned}$$

The proof makes essential use of higher-order functions.

9.1 Strong lax monoidal functors

In the first-order language of category theory, such data flow must be explicitly plumbed using *strong* functors, i.e. functors F equipped with a *tensorial strength*

$$t_{AB} : A \times FB \longrightarrow F(A \times B)$$

that makes the following diagrams commute.

$$\begin{array}{ccc} 1 \times FA & \cong & FA \\ \downarrow t & & \parallel \\ F(1 \times A) & \cong & FA \end{array} \qquad \begin{array}{ccc} (A \times B) \times FC & \cong & A \times (B \times FC) \\ \downarrow t & & \downarrow A \times t \\ & & A \times F(B \times C) \\ & & \downarrow t \\ F((A \times B) \times C) & \cong & F(A \times (B \times C)) \end{array}$$

The naturality axiom above then becomes *strong naturality*: the natural transformation m corresponding to ‘ \star ’ must also respect the strength:

$$\begin{array}{ccc} (A \times B) \times (FC \times FD) & \cong & (A \times FC) \times (B \times FD) \\ (A \times B) \times m \downarrow & & \downarrow t \times t \\ (A \times B) \times F(C \times D) & & F(A \times C) \times F(B \times D) \\ \downarrow t & & \downarrow m \\ F((A \times B) \times (C \times D)) & \cong & F((A \times C) \times (B \times D)) \end{array}$$

Note that B and FC swap places in the above diagram: strong naturality implies commutativity with pure computations.

Thus in categorical terms idioms are strong lax monoidal functors. Every strong monad determines two such functors, as the definition is symmetrical.

10 Conclusions and further work

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Solutions to Exercises

Solution 1 (Idioms functorial)

We check that lift_1 respects id and (\cdot) as follows:

- $\text{lift}_1 \text{id } u = \iota \text{id} \otimes u \quad \{\text{lift}_1\}$
 $= u \quad \{\text{identity}\}$
- $\text{lift}_1 (f \cdot g) u = \iota (f \cdot g) \otimes u \quad \{\text{lift}_1\}$
 $= \iota (\cdot) \otimes \iota f \otimes \iota g \otimes u \quad \{\text{homomorphism}\}$
 $= \iota f \otimes (\iota g \otimes u) \quad \{\text{composition}\}$
 $= \text{lift}_1 f (\text{lift}_1 g u) \quad \{\text{lift}_1\}$

Solution 2 (canonical form)

We proceed in four phases—one for each law:

identity Ensure the expression has form $\iota f \otimes s$ by wrapping u as $\iota \text{id} \otimes u$ if necessary.

composition Flatten the expression, replacing each right-nested $u \otimes (v \otimes w)$ with its left-nested counterpart $\iota (\cdot) \otimes u \otimes v \otimes w$. Note that this preserves the order of effects, merely inserting extra pure computations. The expression now has form

$$\iota f \otimes is_1 \otimes \dots \otimes is_n$$

where some of the is 's are of form ιs for pure s .

interchange Rewrite $u \otimes \iota s$ to $\iota (\lambda f \rightarrow f s) \otimes u$ wherever u is not some ιf itself. Now we have form

$$\iota f \otimes \iota p_1 \otimes \dots \otimes \iota p_k \otimes e_1 \otimes \dots \otimes e_m$$

where the e 's are the effectful is 's, in order.

homomorphism Collapse the initial segment of the expression, leaving

$$\iota (f p_1 \dots p_k) \otimes e_1 \otimes \dots \otimes e_m$$

as required.

Solution 3 (Coding `[[...]]`)

Following a similar approach to the definition of the `zipWith` family in (McBride, 2002), we use a type class trick:

```
class Idiom i => Idiomatic i f g | g -> f i where
  idiomatic :: i f -> g
```

In general, f is a pure function type (possibly of arity 0) and g is somehow the corresponding version of f in the idiom i . As we process arguments from left to right, we accumulate a function in the idiom of type $i f$, from which to compute the remaining function g . Our ‘open bracket’ just initialises the accumulator with the pure function given.

```
ī :: Idiomatic i f g => f -> g
ī f = idiomatic (ι f)
```

If must consume an argument in $i s$, the accumulator must be \otimes -able to it.

```
instance Idiomatic i f g => Idiomatic i (s -> f) (i s -> g) where
  idiomatic isf is = idiomatic (isf ⊗ is)
```

Meanwhile, our ‘close bracket’ is just the constructor of a datatype, introduced especially for this purpose:

```
data ī = ī
```

When we see `ī`, we just unload the accumulator!

```
instance Idiom i => Idiomatic i x (ī -> i x) where
  idiomatic ix ī = ix
```

This notation is quite extensible. Fans of parser combinators may like to add a symbol `īg` meaning ‘execute the following computation, but ignore its value’.

```
data īg = īg
```

instance Idiomatic $i h g \Rightarrow$ Idiomatic $i h (\mathbb{I}g \rightarrow i x \rightarrow g)$ **where**
 idiomatic $ih \mathbb{I}g ix =$ idiomatic $(\iota \text{ const} \otimes ih \otimes ix)$

A simple example of this in action might be:

```
exp :: Parser (Exp String)
exp = [[Val int]
      ⊕ [[Var ident]
        ⊕ [[Add  $\mathbb{I}g(\text{tok "("}) \text{exp } \mathbb{I}g(\text{tok "+"}) \text{exp } \mathbb{I}g(\text{tok ")"})$ ]]]
```

where `int`, `ident` and `tok t` are the parsers for integers, identifiers and the token t , respectively.

Solution 4 (the colist Monad)

The join of this list monad takes the diagonal of a ‘matrix’, however far it extends.

```
join :: [[x]] → [x]
join [] = []
join ( []
      : _
      ) = []
join ( (x : _)
      : xss
      ) = x
      : join (fmap chop xss) where
chop [] = []
chop (_ : xs) = xs
```

Our alternative Monad thus has `return = repeat` and, as standard,

$$xs \gg= f = \text{join (fmap } f \text{ } xs)$$

Correspondingly, the `ap` of this monad generates the matrix of pairwise applications from a list of functions and a list of arguments, solely for the purpose of taking its diagonal. Directly implementing `zapp` is clearly wiser.

Solution 5 (distributing Term and Maybe)

The specialised instance

$$\text{idist} = \text{imap id} :: \text{Term (Maybe } x) \rightarrow \text{Maybe (Term } x)$$

is a kind of ‘occur check’ for the most local de Bruijn variable, `Var Nothing`, available for the input term. Either this term does not use its most local variable, in which case we get `Just t` for t a term with variables drawn only from x , or we get `Nothing`. That is, `idist` propagates an *effectful* renaming through its input; that renaming, $\text{id} :: \text{Maybe } x \rightarrow \text{Maybe } x$ is seen as the renaming from `Maybe x` to x which *fails* if its input is ever `Nothing`. The `IFunctor` behaviour of `Maybe` ensures that the effectful renaming is reindexed correctly under a `Lda`.

The rationalisation of the occur check as a failure-prone renaming is central to the first author’s structurally recursive first-order unification algorithm (McBride, 2003). In this form, the occur check delivers the witness that a variable has been successfully eliminated.

Solution 6 (Functor versus IFunctor)

The idea behind `imap` is to traverse a data structure threading the effects produced by some operation on elements and combining the results into a single effectful computation. If the effect being threaded is inherently *strict*, for example the ‘failure’ effect coded by the `Maybe` idiom, then the data traversed must be *finite*. That is, if f fails for *any* element, then `imap f` should fail, hence `imap f` must visit every element before delivering a result. Correspondingly, we need only choose a `Functor` which acts as a container for infinitely many elements to make `imap` unavailable: `(→) Integer` will do very nicely.

Let

```
stateAfter :: TuringMachine → Integer → Maybe State
```

be such that `stateAfter tm n` returns `Just s` if Turing Machine tm is still running and in state s after n steps of execution, and `Nothing` if tm halts within n steps. Then

```
imap (stateAfter tm) id
```

must return `Nothing` if tm halts for any number of steps in the range of `id::Integer → Integer`.

Solution 7 (every Ord induces a Monoid)

Every instance of `Ord` induces a `Monoid` via its `max` operation, provided we have a bottom element to act as the \emptyset —we can always add such a thing:

```
data Pointed x = Bottom | Embedded x
instance Ord x ⇒ Ord (Pointed x) where
  compare Bottom      Bottom      = EQ
  compare Bottom      (Embedded y) = LT
  compare (Embedded x) Bottom      = GT
  compare (Embedded x) (Embedded y) = compare x y
instance Ord x ⇒ Monoid (Pointed x) where
  ∅ = Bottom
  (⊕) = max
```

Of course, we can also get the ‘minimum’ monoid by adding a top element.

Solution 8 (adverbial programming)

The adverbial style includes the constructor of a **newtype** but omits the projection. Accordingly, let us overload the latter

```
class Unpack p u | p → u where
  unpack :: p → u
```

For each of our **newtypes**, let us take `unpack` to be the projection:

```
instance Unpack (Accy o x) o where
  unpack = acc
```

and so on.

Now we may define

```

ily :: Unpack p' u' => (u -> p) -> ((t -> p) -> t' -> p') ->
    (t -> u) -> t' -> u'
ily pack transform f = unpack . transform (pack . f)

```

Solution 9 (monads and accumulation)

It is well established that the effect of ‘writing’ to a monoid is monadic, with return writing \emptyset and join combining the effects with \oplus . For the standard `Monad` interface, this yields

```

instance Monoid o => Monad ((,) o) where
  return x = ( $\emptyset$ , x)
  (o1, s) >>= f = (o1  $\oplus$  o2, t) where
    (o2, t) = f s

```

It is less well established—but nonetheless trivial—that the constant singleton

```

data Unit x = Void

```

is monadic.

We may take `Accy o` to be the type constructor which throws away its argument but delivers an `o`, as follows:

```

type Accy o = ((,) o)  $\circ$  Unit

```

The idiom resulting from this composition of monads behaves just like the one we defined directly.

Solution 10 (fast reverse)

Let us have

```

newtype EndoOp x = OpEndo{opEndo :: x -> x}

```

```

instance Monoida EndoOp where
   $\emptyset$  = OpEndo id
  OpEndo f  $\oplus$  OpEndo g = g . f

```

Now recall that `(:)` takes an element to an endofunction on lists!

```

rev :: [x] -> [x]
rev xs = (OpEndo‘ily‘) icrush (:) xs []

```

Solution 11 (MFunctor and swap)

We have that

```

 $\iota$  x = fmap (const x) unit

```

Hence

```

fmap swap ( $\iota$  x  $\star$  u) = fmap swap (fmap (const x) unit  $\star$  u)      {iii}
                      = fmap swap (fmap (const x) unit  $\star$  fmap id u)  {functor identity}
                      = fmap swap (fmap (const x  $\times$  id) (unit  $\star$  u))  {naturality of  $\star$ }
                      = fmap (swap . (const x  $\times$  id)) (unit  $\star$  u)    {functor composition}

```