# A polynomial testing principle

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**Abstract** Two polynomial functions of degree at most n agree on all inputs if they agree on n + 1 different inputs, e.g., on  $\{0, 1, 2, ..., n\}$ . This fact gives us a simple procedure for testing equivalence in a language of polynomial expressions. Moreover, we may readily extend this language to include a summation operator and test standard results which are usually established inductively.

I present a short proof of this testing lemma which rests on the construction and correctness of a syntactic forward-difference operator.

# 1 In(tro)duction

Once upon a time, I was a PhD student working for Rod Burstall at the Laboratory for Foundations of Computer Science in Edinburgh. I supplemented my income by working as a teaching assistant for the Computer Science department, and I supplemented my income supplement by moonlighting as a teaching assistant for the Mathematics department, working in particular with classes taught by mathematicians to first year undergraduate computer scientists. The mathematicians often asked the students to "show" things which was, for many of our students, an unfamiliar game. Old chestnuts like

$$\sum_{0 \le i \le n} i = \frac{1}{2}n(n+1)$$

were a cause of struggle. Learning to prove via weekly assignments is rather like learning chess by post: on Monday you send a card stating your move, and on Friday you receive the reply "You can't do that with a Bishop!".

My PhD research involved working with interactive proof assistants (specifically, LEGO (Luo and Pollack, 1992)), so it seemed obvious to me that we

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should consider the use of machines to shorten the undergraduate feedback loop. At the time, Rod was working on a more basic proof editor, PROVEEASY, with which was successfully teaching programming language semantics to third year undergraduates. I wondered if PROVEEASY might help the first years grapple with induction and proposed to demonstrate Rod's system to my mathematical employers. Carefully avoiding fractions, I drove the machine to deliver an interactive proof of

$$2\sum_{0\leq i\leq n}i=n(n+1)$$

They were unimpressed. I became depressed.

To cheer me up, Rod attempted cynicism: 'But Conor, aren't most of these students going to work in banks? Do they really to prove that? Don't they just need to bung in three numbers and see that it works?'. 'Rod,' I replied, 'you're absolutely right. The formulae on both sides of the equation are manifestly quadratic, so bunging in three numbers to see that it works *is* a proof!'. Of course, it takes rather more mathematics to see why the result is that easy to *test* than to *prove* it directly. Indeed, I intended my remark merely as a throwaway absurdity, but it stuck with me. Once in a while I would idly fiddle with representations of polynomials, looking for an easy way to prove a testing lemma, but somehow things always became tricky very quickly.

Recently, I found a way to prove the result in under 400 lines of Agda, without recourse to any library functionality. I suspect this means I have learned something in the intervening years. This paper is an attempt to communicate it.

### 2 Polynomials and testing

Polynomial functions are just those generated by constants and the identity, closed under pointwise addition and multiplication.

$$\underline{n} x = n$$
  $\iota x = x$   $(p \oplus q) x = p x + q x$   $(p \otimes q) x = p x \times q x$ 

We can define the *degree*, |p|, of a polynomial recursively:

$$|\underline{n}| = 0$$
  $|\iota| = 1$   $|p \oplus q| = |p| \lor |q|$   $|p \otimes q| = |p| + |q|$ 

The testing principle is just that if  $|p| \leq n$ ,  $|q| \leq n$  and p i = q i for  $i \in \{0, 1, ..., n\}$ , then p x = q x for all natural numbers x.

One way to see that it holds is to construct the *forward difference* operator,  $\Delta$ , satisfying the specification

$$p(1+x) = px + \Delta px$$

and check that

- if |p| = 0 then  $p = \underline{p \ 0}$  and  $\Delta p = \underline{0}$ 

- if |p| = 1 + n then  $|\Delta p| = n$ .

The specification of  $\varDelta$  gives us an easy proof that

$$p \, x = p \, 0 + \sum i < x \Delta p \, i$$

As a consequence we should gain an easy inductive argument for the testing principle: if p and q have degree at most 1 + n and agree on  $\{0, 1, \ldots, 1 + n\}$ , then  $p \ 0 = q \ 0$  and  $\Delta p$  and  $\Delta q$  agree on  $\{0, 1, \ldots, n\}$ ; inductively,  $\Delta p = \Delta q$ , so replacing equal for equal in the above summation,  $p \ x = q \ x$ .

So, can we construct  $\Delta$  and make this argument formal? Let us see.

### 3 Polynomials by degree

We can define natural numbers and the basic arithmetic operators straightforwardly. The 'BUILTIN' pragmas allow us to use decimal notation.

```
data Nat : Set where

ze : Nat

su : Nat \rightarrow Nat

{-# BUILTIN NATURAL Nat #-}

{-# BUILTIN ZERO ze #-}

{-# BUILTIN SUC su #-}

-+_ : Nat \rightarrow Nat \rightarrow Nat

ze + y = y

su x + y = su (x + y)

infixr 5 _+_

-\times_- : Nat \rightarrow Nat \rightarrow Nat

ze \times y = ze

su x \times y = x \times y + y

infixr 6 _\times_-
```

Addition and multiplication both associate rightward, the latter binding more tightly. For convenience in writing polynomials, let us also define exponentiation as iterated multiplication.

 $\_^{-}$ : Nat  $\rightarrow$  Nat  $\rightarrow$  Nat  $x \land ze = 1$  $x \land su n = (x \land n) \times x$ 

In order to capture *degree*, one might be tempted to define

 and then give a type of polynomials indexed by degree.

We might attempt to define  $\Delta$  reducing degree, as follows

```
\Delta : \forall \{n\} \to \mathsf{Poly} (\mathsf{su} \ n) \to \mathsf{Poly} \ n
\Delta \iota = ?
\Delta (p \oplus r) = ?
\Delta (p \otimes r) = ?
```

but these patterns do not typecheck, because it is not obvious how to unify su m with  $l \vee m$  or l + m. The trouble is that our  $\Delta$  function has a *prescriptive* index pattern in its argument type—not just a universally quantified variable—whilst our constructors have *descriptive* indices, imposing constraints by instantiation. For pattern matching to work, these indices must unify, but our use of defined functions (which I habitually colour green),  $l \vee$ m and l + m, have taken us outside the constructor-form language where unification is possible. We have learned an important design principle

**Principle 1 (Don't touch the green slime!)**<sup>1</sup> When combining prescriptive and descriptive indices, ensure both are in constructor form. Exclude defined functions which yield difficult unification problems.

One way to avoid green slime without losing precision is to recast the datatype definition equationally, making use of the *propositional equality*:

data  $\_\equiv \{X : Set\}(x : X) : X \rightarrow Set where refl : x \equiv x$ infix  $2 \_\equiv \_$ 

We eliminate non-constructor descriptive indices in favour of equations:

 $<sup>^{1}</sup>$  With the advent of colour television, the 1970s saw a great deal of science fiction in which green cleaning gel Swarfega was portrayed as a noxious substance, e.g., *Doctor Who: The Green Death* by Robert Sloman and Barry Letts.

Now, we can at least make a start.

 $\Delta : \forall \{n\} \to \mathsf{Poly} (\mathsf{su} \ n) \to \mathsf{Poly} \ n$  $\Delta \iota = \kappa \ 1$  $\Delta (\mathsf{plus} \ p \ r \ q) = ?$  $\Delta (\mathsf{times} \ p \ r \ q) = ?$ 

To make further progress, we shall need somehow to exploit the fact that in both cases, at least one of p and r has non-zero degree. This is especially galling as, morally, we should have something as simple as  $\Delta (p \oplus r) = \Delta p \oplus \Delta r$ . By being precise about the degree of polynomials, we have just made trouble for ourselves. We have just encountered another design principle of dependent types.

# **Principle 2 (Jagger/Richards)** <sup>2</sup> *Be minimally prescriptive, not maximally descriptive.*

In order to establish our testing principle, we do not need precise knowledge of the degree of a polynomial: an *upper bound* will suffice. We can see the index of our type of polynomials not as a degree measure being propagated outwards, but as a degree requirement being propagated *inwards*. The very syntax of datatype declarations is suggestive of descriptions propagated outwards, but it is usually a mistake to think in those terms.

We can get a little further:

```
data Poly : Nat \rightarrow Set where
                                                                  \mathsf{Nat} \ \rightarrow
   \kappa : \forall \{n\} \rightarrow
                                                                                                                           Poly n
   \iota : \forall \{n\} \rightarrow
                                                                                                                           Poly (su n)
                                      Poly n \rightarrow \text{Poly } n \rightarrow
   -\oplus : \forall \{n\} \rightarrow
                                                                                                                           Poly n
   times : \forall \{l \ m \ n\} \rightarrow \mathsf{Poly} \ l \rightarrow \mathsf{Poly} \ m \rightarrow (l + m) \equiv n \rightarrow \mathsf{Poly} \ n
\Delta : \forall \{n\} \rightarrow \mathsf{Poly}(\mathsf{su} n) \rightarrow \mathsf{Poly} n
\Delta (\kappa_{-}) = \kappa \theta_{-}
\Delta \iota
                          = \kappa 1
\Delta (p \oplus r) = \Delta p \oplus \Delta r
\Delta (\text{times } p \ r \ q) = ?
```

We can use the specification of  $\varDelta$  to try to figure out how to deal with multiplication.

$(p\otimes r) \ (1+x)$	definition of $\otimes$
$= p\left(1+x\right) \times r\left(1+x\right)$	spec of $\Delta p$
$= (p x + \Delta p x) \times r (1+x)$	distribution
$= p x \times r (1+x) + \Delta p x \times r (1+x)$	spec of $\Delta r$
$= p x \times (r x + \Delta r x) + \Delta p x \times r (1 + x)$	distribution
$= p x \times r x + p x \times \Delta r x + \Delta p x \times r (1 + x)$	algebra
$= (p x \times r x) + (\Delta p x \times r (1 + x) + p x \times \Delta r x)$	definition of $\otimes$
$= (p \otimes r) x + (\Delta p x \times r (1+x) + p x \times \Delta r x)$	

 $<sup>^2\,</sup>$  You can't always get what you want, but if you try sometime, you just might find that you get what you need.

So, we need

 $\llbracket \uparrow p \rrbracket$ 

$$\Delta(p \otimes r) = \Delta p \otimes (r \cdot (1+)) \oplus p \otimes \Delta r$$

If we have eyes to see, we can take some useful hints here:

- we shall need to account for *shifting* as in  $r \cdot (1+)$ ;
- we shall need to separate the degenerate cases where p or r have degree zefrom the general case when both have non-zero degree, in order to ensure that fd is recursively applicable;
- we shall need to make it obvious that  $ze + n \equiv n \equiv n + ze$  and  $l + \operatorname{su} m \equiv \operatorname{su} l + m.$

Shifting preserves degree, so we may readily add it to the datatype of polynomials, at the cost of introducing some redundancy. Meanwhile, the case analysis we need on our sum of degrees is not the one which arises naturally from the recursive definition of +. Some theorem proving will be necessary, but a good start is to write down the case analysis we need, relationally.

data Add : Nat  $\rightarrow$  Nat  $\rightarrow$  Nat  $\rightarrow$  Set where  $zel : \forall \{n\} \rightarrow$ Add ze nn $\operatorname{zer} \ : \ \forall \ \{ \, n \, \} \ \rightarrow \label{eq:zer}$ Add nze nsusu :  $\forall \{l \ m \ n\} \rightarrow \text{Add} \ l \ m \ n \rightarrow \text{Add} (\text{su} \ l) (\text{su} \ m) (\text{su} (\text{su} \ n))$ 

Now we should be able to define polynomials in a workable way.

data Poly : Nat  $\rightarrow$  Set where  $\kappa : \forall \{n\} \rightarrow$ Nat  $\rightarrow$ Poly *n*  $: \forall \{n\} \rightarrow$ Poly (su n) ι  $\uparrow \qquad : \forall \{n\} \rightarrow$ Poly  $n \rightarrow$ Poly n $\_\oplus\_:\forall \{n\} \rightarrow \qquad \mathsf{Poly} \ n \rightarrow \mathsf{Poly} \ n \rightarrow$ Poly ntimes :  $\forall \{l \ m \ n\} \rightarrow \mathsf{Poly} \ l \rightarrow \mathsf{Poly} \ m \rightarrow \mathsf{Add} \ l \ m \ n \rightarrow \mathsf{Poly} \ n$  $\llbracket - \rrbracket : \forall \{n\} \rightarrow \mathsf{Poly} \ n \rightarrow \mathsf{Nat} \rightarrow \mathsf{Nat}$ x = n $\begin{bmatrix} \kappa & n \end{bmatrix}$ [ *ι* ] x = x

We can now make substantial progress, splitting the multiplication case into the three possibilities.

```
\Delta : \forall { n } \rightarrow Poly (su n) \rightarrow Poly n
\Delta (\kappa )
                                                             = \kappa \theta
\Delta \iota
                                                               = \kappa 1
                                                              = \uparrow (\Delta p)
\Delta (\uparrow p)
\begin{array}{lll} \Delta \ (p \ \oplus \ r) & = \ \Delta \ p \ \oplus \ \Delta \ r \\ \Delta \ (\mathsf{times} \ p \ r \ \mathsf{zel}) & = \ \mathsf{times} \ (\kappa \ (\llbracket \ p \ \rrbracket \ 0)) \ (\Delta \ r) \ \mathsf{zel} \end{array}
                                                              = \Delta p \oplus \Delta r
```

 $x = \llbracket p \rrbracket (\operatorname{su} x)$  $\begin{bmatrix} p \\ \oplus r \end{bmatrix} \quad x = \begin{bmatrix} p \\ x + \end{bmatrix} x + \begin{bmatrix} r \\ x \end{bmatrix} x$  $\llbracket \mathsf{times} \ p \ r \ a \ \rrbracket \ x = \llbracket p \ \rrbracket \ x \ \times \ \llbracket \ r \ \rrbracket \ x$ 

 $\Delta (\text{times } p \ r \ \text{zer}) = \text{times} (\Delta p) (\kappa (\llbracket r \rrbracket 0)) \text{zer}$  $\Delta (\text{times } p \ r \ (\text{susu } a)) = \text{times} (\Delta p) (\uparrow r) ? \oplus \text{times } p \ (\Delta r) ?$ 

The proof obligations, thus identified, are easily discharged:

```
sul : \{l \ m \ n : Nat\} \rightarrow Add \ l \ m \ n \rightarrow Add \ (su \ l) \ m \ (su \ n)
sul(zel \{ze\})
                 = zer
sul(zel \{su n\}) = susu zel
sul zer
                    = zer
sul (susu s)
                   = susu (sul s)
sur : { l m n : Nat } \rightarrow Add l m n \rightarrow Add l (su m) (su n)
sur zel
                    = zel
sur (zer \{ze\})
                   = zel
sur(zer \{su n\}) = susu zer
sur(susu|s)
                   = susu (sur s)
```

 $\Delta$  (times p r (susu a)) = times ( $\Delta p$ ) ( $\uparrow r$ ) (sur a)  $\oplus$  times p ( $\Delta r$ ) (sul a)

Of course, we should like the convenience of our  $\otimes$  operator, at least for *constructing* polynomials. We need simply prove that Add respects +.

```
add : (l \ m : \operatorname{Nat}) \to \operatorname{Add} l \ m \ (l + m)
add ze m = \operatorname{zel}
add (su l) m = \operatorname{sul} (\operatorname{add} l \ m)
\_\otimes\_: \{l \ m : \operatorname{Nat}\} \to \operatorname{Poly} l \to \operatorname{Poly} m \to \operatorname{Poly} (l + m)
\_\otimes\_\{l\} \{m\} \ p \ r = \operatorname{times} p \ r \ (\operatorname{add} l \ m)
infixr 6 \ \_\otimes\_
```

Similarly, let us grant ourselves versions of  $\kappa$  and  $\iota$  which fix their degree.

 $\iota_{1} : \operatorname{Poly} 1$  $\iota_{1} = \iota$  $\kappa_{0} : \operatorname{Nat} \to \operatorname{Poly} 0$  $\kappa_{0} n = \kappa n$ 

It may also prove useful to support exponential notation:

We may now write polynomials,

 $(\iota_1 \ ^{\odot} \ 2) \ \oplus \ \kappa_0 \ 2 \ \otimes \ \iota \ \oplus \ \kappa \ 1 \ : \mathsf{Poly} \ 2$ 

taking care to use  $\iota_1$  in the highest degree term, fixing the bound, then leaving some slack in the other terms.

We now have a definition of Polynomials indexed by a bound on their degree which readily admits a candidate for the forward difference operator  $\Delta$ . Let us give ourselves some convenient exponential notation.

#### 4 Stating the testing principle

Let us be careful to define the conditions under which we expect two polynomials to be pointwise equal. We shall require a conjunction of individual equations, hence let us define the relevant logical apparatus: the unit type and the cartesian product.

#### record 1 : Set where constructor $\langle \rangle$

```
record _×_ (S : Set) (T : Set) : Set where
constructor _,_
field fst : S; snd : T
open _×_ public
```

To state the condition that polynomials coincide on  $\{i|i < k\}$ , we may define:

```
TestEq : (k : Nat) \{n : Nat\} (p r : Poly n) \rightarrow Set
TestEq ze p r = 1
TestEq (su k) p r = (\llbracket p \rrbracket ze \equiv \llbracket r \rrbracket ze) \times TestEq k (\uparrow p) (\uparrow r)
```

We can now state the key lemma that we hope to prove.

```
testLem : (n : \mathsf{Nat}) (p \ r : \mathsf{Poly} \ n) \rightarrow
TestEq (su n) p \ r \rightarrow (x : \mathsf{Nat}) \rightarrow [ [p ] ] x \equiv [ [r ] ] x
```

Of course, in order to *prove* the testing principle, we shall need the machinery for constructing proofs of equations.

### 5 Some kit for equational reasoning

It is standard to make use of Agda's mixfix notation for presenting equational explanations in readable form.

 $\begin{array}{l} \Box : \forall \{X\} (x : X) \rightarrow x \equiv x \\ x \Box = \mathsf{refl} \\ \_= [\_] =\_: \forall \{X\} (x : X) \{y z\} \rightarrow x \equiv y \rightarrow y \equiv z \rightarrow x \equiv z \\ x = [\mathsf{refl}] = q = q \\ \_= \langle\_] =\_: \forall \{X\} (x : X) \{y z\} \rightarrow y \equiv x \rightarrow y \equiv z \rightarrow x \equiv z \\ x = \langle \mathsf{refl}] = q = q \\ \mathsf{infixr} \ 2 \ \Box \ \_= [\_] =\_ = \langle\_] =\_ \end{array}$ 

Meanwhile, the following apparatus is useful for building *structural* explanations of equality between applicative forms.

 $\begin{array}{l} \operatorname{refl} \twoheadrightarrow \operatorname{refl} = \operatorname{refl} \\ \_ \$\_ : \forall \{S \ T : \operatorname{Set}\} (f : S \to T) \{x \ y\} \to x \equiv y \to f \ x \equiv f \ y \\ f \$= q = \operatorname{refl} \twoheadrightarrow q \\ \_ \$\_ : \forall \{S \ T : \operatorname{Set}\} \{f \ g : S \to T\} \to f \equiv g \to (x : S) \to f \ x \equiv g \ x \\ q \twoheadrightarrow x = q \twoheadrightarrow \operatorname{refl} \\ \operatorname{infixl} 9 \_ \circledast\_ = \$\_ = \$\_ = \$\_$ 

For example, let us prove the lemma that any polynomial of degree at most  $\vartheta$  is constant.

```
kLem : (p : \mathsf{Poly} \ \theta) (x \ y : \mathsf{Nat}) \to \llbracket p \rrbracket x \equiv \llbracket p \rrbracket y
kLem (\kappa n)
                                      x y = refl
kLem (\uparrow p)
                                      x y =
                                             = refl \rangle =
    [\uparrow p ]] x
    \llbracket p \rrbracket (\mathsf{su} \ x)
                                             = [kLem p (su x) (su y) \rangle =
    \llbracket p \rrbracket (\operatorname{su} y)
                                             =\langle refl \rangle =
                                              \square
    [\uparrow p ]] y
kLem (p \oplus r)
                                      x y =
    \llbracket (p \oplus r) \rrbracket x
                                             = refl \rangle =
                                             =[ _+_ \ kLem p x y \implies kLem r x y >=
    [ p ] x + [ r ] x
    [ p ] y + [ r ] y
                                              = (refl ]=
                                              \llbracket (p \oplus r) \rrbracket y
kLem (times p r \text{ zel}) x y =
    \llbracket (p \otimes r) \rrbracket x
                                            = refl \rangle =
    \llbracket p \rrbracket x \times \llbracket r \rrbracket x
                                             = [ \_ \times \_  kLem p x y \implies kLem r x y >=
   \llbracket p \rrbracket y \times \llbracket r \rrbracket y
                                             =\langle refl \rangle =
                                             \llbracket (p \otimes r) \rrbracket y
kLem (times p r \text{ zer}) x y =
    \left[ \left( p \otimes r \right) \right] x
                                             = refl \rangle =
                                             = [_×_ $= kLem p x y = kLem r x y \geq
    \llbracket p \rrbracket x \times \llbracket r \rrbracket x
                                             = \langle refl ] =
    \llbracket p \rrbracket y \times \llbracket r \rrbracket y
                                              \llbracket (p \otimes r) \rrbracket y
```

The steps where the equality proof is **refl** can be omitted, as they follow just by symbolic evaluation. It may, however, add clarity to retain them.

#### 6 Proving the testing principle

Let us now show that the testing principle follows from the as yet unproven hypothesis that  $\Delta$  satisfies its specification:

 $\Delta \mathsf{Lem} \, : \, \forall \, \{ \, n \, \} \, (p \, : \, \mathsf{Poly} \, (\mathsf{su} \, n)) \, x \, \rightarrow \, \llbracket \, p \, \rrbracket \, (\mathsf{su} \, x) \, \equiv \, \llbracket \, p \, \rrbracket \, x \, + \, \llbracket \, \Delta \, p \, \rrbracket \, x$ 

As identified earlier, the key fact is that the test conditions for some p and r imply the test conditions for  $\Delta p$  and  $\Delta r$ .

test $\Delta$ Lem :  $(k : Nat) \{n : Nat\} (p r : Poly (su n)) \rightarrow$ TestEq (su k)  $p r \rightarrow$  TestEq  $k (\Delta p) (\Delta r)$ 

Assuming this, we may deliver the main induction.

```
p r (q, \langle \rangle) x
testLem ze
                                                                     =
                                                                   = kLem p x ze \rangle =
    \begin{bmatrix} p \end{bmatrix} x
    [ p ] 0
                                                                   =[q] =
    \llbracket r \rrbracket 0
                                                                   =\langle \mathsf{kLem} \ r \ x \ \mathsf{ze} \rangle =
    \llbracket r \rrbracket x
                                                                   testLem (su n) p r (q\theta, qs) ze
                                                                  = [ q\theta \rangle =
    [ p ] ze
    [[ r ]] ze
                                                  (su x) =
testLem (su n) p r qs
                                                                   = [\Delta \text{Lem } p \ x \rangle =
    \llbracket p \rrbracket (\operatorname{su} x)
    \llbracket p \rrbracket x + \llbracket \Delta p \rrbracket x = [(_+- \$ \text{testLem}(\mathsf{su} n) p r qs x]
                                                          \implies testLem n (\Delta p) (\Delta r)
                                                                   (\text{test}\Delta \text{Lem}(\mathbf{su} n) p r qs) x) \rangle =
    \llbracket r \rrbracket x + \llbracket \Delta r \rrbracket x
                                                                   =\langle \Delta \text{Lem } r x \rangle =
    \llbracket r \rrbracket (\operatorname{su} x)
```

To establish test $\Delta$ Lem, we need to establish equality of *differences* by cancelling what the differences are added to. We shall need the *left-cancellation* property of addition.

 $+ \mathsf{cancel} : \forall w \ y \ \{x \ z\} \rightarrow w \equiv y \rightarrow w + x \equiv y + z \rightarrow x \equiv z$ 

### 7 No confusion, cancellation, decision

The left-cancellation property ultimately boils down to iterating the observation that **su** is injective. Likewise, to show that we can actually decide the test condition, we shall need to show that numeric equality is decidable, which also relies on the 'no confusion' property of the datatype Nat—constructors are injective and disjoint. There is a well established reflective method to state and prove a bunch of constructor properties simultaneously: we define the intended consequences of an equation between constructor forms, and then show that those consequences do indeed hold on the diagonal. data 0 : Set where -- logical falsity

NoConf : Nat  $\rightarrow$  Nat  $\rightarrow$  Set NoConf ze ze = 1 NoConf ze (su y) = 0 NoConf (su x) ze = 0 NoConf (su x) (su y) = x  $\equiv$  y noConf :  $\forall \{x y\} \rightarrow x \equiv y \rightarrow$  NoConf x y noConf {ze} refl =  $\langle \rangle$ noConf {su x} refl = refl

The cancellation property we need follows by an easy induction. Again, we work along the diagonal, using noConf to strip a su at each step.

```
+ cancel ze .ze refl refl = refl
+ cancel (su w) \circ (su w) refl q = +cancel w w refl (noConf q)
```

In the step case,  $q : \operatorname{su}(w + x) \equiv \operatorname{su}(w + z)$ , so noConf  $q : (w + x) \equiv (w + z)$ .

Meanwhile, we can frame the decision problem for the numeric equation  $x \equiv y$  as the question of whether the type has an inhabitant or is provably empty.

data Dec (P : Set) : Set where yes :  $P \rightarrow$  Dec Pno :  $(P \rightarrow 0) \rightarrow$ Dec P

The method for deciding equality is just like the usual method for testing it, except that we generate evidence via noConf.

While we are in a decisive mode, let us show that the test condition is decidable, just by iterating numerical equality tests.

We can now give our testing principle a friendly user interface, incorporating the decision process.

#### 8 The testing deliverable

Much as with NoConf, we can compute for any pair of polynomials a statement which might be of interest—if the test condition holds, we state that the polynomials are pointwise equal—and we can prove that statement, because deciding the test condition delivers the evidence we need.

```
TestStmt : (n : \operatorname{Nat}) (p q : \operatorname{Poly} n) \to \operatorname{Set}
TestStmt n p r with testEq (su n) p r
... | yes qs = (x : \operatorname{Nat}) \to \llbracket p \rrbracket x \equiv \llbracket r \rrbracket x
... | no _ = 1
testStmt : \{n : \operatorname{Nat}\} (p r : \operatorname{Poly} n) \to \operatorname{TestStmt} n p r
testStmt \{n\} p r with testEq (su n) p r
... | yes qs = \operatorname{testLem} n p r qs
... | no _ = \langle \rangle
```

#### 9 Correctness of $\Delta$

It is high time we sealed the argument with a proof that  $\Delta$  satisfies its specification, really computing the difference between  $\uparrow p$  and p.

 $\Delta \text{Lem} : \forall \{n\} (p : \text{Poly}(\mathfrak{su} n)) x \to \llbracket p \rrbracket (\mathfrak{su} x) \equiv \llbracket p \rrbracket x + \llbracket \Delta p \rrbracket x$ 

The basic plan is to do induction over the computation of  $\Delta$ , then basic algebraic rearrangement. Agda provides the facility to **rewrite** by an equation, which I shall use to deploy inductive hypotheses and to replace constant polynomials by their values. For the algebraic remainder, I shall make use of a reflective lemma for solving equations in commutative monoids, and of the distribuitivity of  $\times$  over +: I shall suppress the unenlightening details of these invocations.

```
\begin{array}{l} \Delta \mathsf{Lem} (\kappa \ n) \ x = \\ n = \langle (commutative \ monoids) \ ] = \\ n + 0 \ \Box \\ \Delta \mathsf{Lem} \ \iota \ x = \\ 1 + x = \langle (commutative \ monoids) \ ] = \\ x + 1 \ \Box \\ \Delta \mathsf{Lem} \ (p \oplus \ r) \ x \ \mathsf{rewrite} \ \Delta \mathsf{Lem} \ p \ x \ | \ \Delta \mathsf{Lem} \ r \ x = \\ (\llbracket \ p \ \rrbracket \ x + \llbracket \ \Delta \ p \ \rrbracket \ x) + (\llbracket \ r \ \rrbracket \ x + \llbracket \ \Delta \ r \ \rrbracket \ x) \\ = [ (commutative \ monoids) \rangle = \\ (\llbracket \ p \oplus \ r \ \rrbracket \ x) + (\llbracket \ \Delta \ p \oplus \ \Delta \ r \ \rrbracket \ x) \ \Box \\ \Delta \mathsf{Lem} \ (\uparrow p \ \& \ r \ \rrbracket \ x) + (\llbracket \ \Delta \ p \oplus \ \Delta \ r \ \rrbracket \ x) \ \Box \\ \Delta \mathsf{Lem} \ (\uparrow p \ \& \ r \ \rrbracket \ x) + (\llbracket \ \Delta \ p \oplus \ \Delta \ r \ \rrbracket \ x) \ \Box \\ \Delta \mathsf{Lem} \ (\uparrow p \ \& \ r \ \rrbracket \ x) + (\llbracket \ \Delta \ p \ \oplus \ \Delta \ r \ \rrbracket \ x) \ \Box \\ \Delta \mathsf{Lem} \ (\uparrow p \ \& \ r \ \amalg \ x) = [ (commutative \ monoids) \rangle = \\ (\llbracket \ p \ \oplus \ r \ \amalg \ x) + (\llbracket \ \Delta \ p \ \oplus \ \Delta \ r \ \rrbracket \ x) \ \Box \\ \Delta \mathsf{Lem} \ (\uparrow p \ \& \ r \ \amalg \ x) \ ( \sqsubseteq \ r \ \amalg \ x) \ \Box \\ \Delta \mathsf{Lem} \ (\restriction p \ \& x) \ 0 \ | \ \Delta \mathsf{Lem} \ r \ x = \\ \llbracket \ p \ \rrbracket \ 0 \ \times \ (\llbracket \ r \ \rrbracket \ x + \llbracket \ \Delta \ r \ \rrbracket \ x) \ \Box \\ = \\ \llbracket p \ \llbracket \ 0 \ \times \ \llbracket \ r \ \rrbracket \ x + \llbracket \ p \ \rrbracket \ 0 \ \times \ \llbracket \ \Delta \ r \ \rrbracket \ x \ \Box \end{aligned}
```

 $\Delta$ Lem (times p r zer) x rewrite  $\Delta$ Lem p x | kLem r x 0 | kLem r (su x) 0 =  $(\llbracket p \rrbracket x + \llbracket \Delta p \rrbracket x) \times \llbracket r \rrbracket 0$ = [(distributivity)) = $\llbracket p \rrbracket x \times \llbracket r \rrbracket 0 + \llbracket \Delta p \rrbracket x \times \llbracket r \rrbracket 0 \Box$  $\Delta$ Lem (times p r (susu a)) x rewrite  $\Delta$ Lem  $p x \mid \Delta$ Lem r x = $(\llbracket p \rrbracket x + \llbracket \Delta p \rrbracket x) \times (\llbracket r \rrbracket x + \llbracket \Delta r \rrbracket x)$ = [(distributivity)) > = $(\llbracket p \rrbracket x \times \llbracket r \rrbracket x + \llbracket p \rrbracket x \times \llbracket \Delta r \rrbracket x) +$  $(\llbracket \Delta p \rrbracket x \times \llbracket r \rrbracket x + \llbracket \Delta p \rrbracket x \times \llbracket \Delta r \rrbracket x)$ = [(commutative monoids)) > = $\llbracket p \rrbracket x \times \llbracket r \rrbracket x +$  $([\![\Delta p ]\!] x \times [\![r ]\!] x + [\![\Delta p ]\!] x \times [\![\Delta r ]\!] x) + [\![p ]\!] x \times [\![\Delta r ]\!] x$  $= \langle -+_{-} (\llbracket p \rrbracket x \times \llbracket r \rrbracket x) \\ = (-+_{-} \\ =$  $( \llbracket \Delta p \rrbracket x \times (\llbracket r \rrbracket x + \llbracket \Delta r \rrbracket x)$ = [(distributivity)) > = $[\![ \Delta p ]\!] x \times [\![ r ]\!] x + [\![ \Delta p ]\!] x \times [\![ \Delta r ]\!] x \Box$ ) = ( $\llbracket p \rrbracket x \times \llbracket \Delta r \rrbracket x$ )) ]=  $[ p ] x \times [ r ] x +$  $[\![ \Delta p ]\!] x \times ([\![ r ]\!] x + [\![ \Delta r ]\!] x) + [\![ p ]\!] x \times [\![ \Delta r ]\!] x \Box$ 

The proof boils down to rewriting by kLem and inductive hypotheses, then elementary ring-solving: the latter could readily be disposed of by a reflective tactic in the style of Boutin.

# 10 Summing up

We have proven our polynomial testing principle, but we have rather lost sight of the problem which led us to it—proving results about *summation*.

$$\begin{split} \Sigma &: (f : \mathsf{Nat} \to \mathsf{Nat}) \to \mathsf{Nat} \to \mathsf{Nat} \\ \Sigma & f \ \mathsf{ze} &= 0 \\ \Sigma & f \ (\mathsf{su} \ n) &= \Sigma & f \ n \ + \ f \ n \end{split}$$

Now, our little language of Polynomials with constants drawn from Nat is not closed under summation: to do so would require us to engage with the rationals, a considerable additional effort. However, we can just extend the syntax with a summation operator, *incrementing degree* 

 $\sigma : \forall \{n\} \rightarrow \mathsf{Poly} \ n \rightarrow \mathsf{Poly} \ (\mathsf{su} \ n)$ 

and extend the semantics accordingly.

 $\llbracket \sigma p \rrbracket x = \Sigma \llbracket p \rrbracket x$ 

Of course, we are then obliged to augment the rest of the development. The non-zero index of  $\sigma$  means that no obligation arises for kLem. Meanwhile, by careful alignment,  $\Sigma$  is exactly the notion of 'integration' corresponding to

forward difference  $\Delta$ , hence the extension of  $\Delta$  is direct and the corresponding case of  $\Delta$ Lem trivial.

 $\Delta \quad (\sigma \ p) = p \\ \Delta \text{Lem} \ (\sigma \ p) \ x = \text{refl}$ 

And with those seven extra lines, we are ready to prove classic results by finitary testing.

```
\begin{array}{rll} \mbox{triangle} & : & (x:\ {\sf Nat}) \to \mathcal{Z} \times \Sigma \left(\lambda \ i \to i\right) ({\sf su} \ x) \equiv x \times (x+1) \\ \mbox{triangle} & = \ {\sf testStmt} \left(\kappa_0 \ \mathcal{Z} \otimes \uparrow (\sigma \ \iota_1)\right) (\iota_1 \otimes (\iota_1 \oplus \kappa \ 1)) \\ \mbox{square} & : & (x:\ {\sf Nat}) \to \Sigma \left(\lambda \ i \to \mathcal{Z} \times i \ + \ 1\right) x \equiv x^{\wedge} \mathcal{Z} \\ \mbox{square} & = \ {\sf testStmt} \left(\sigma \left(\kappa_0 \ \mathcal{Z} \otimes \iota_1 \oplus \kappa \ 1\right)\right) (\iota_1 \overset{\odot}{\to} \mathcal{Z}) \\ \mbox{cubes} & : & (x:\ {\sf Nat}) \to \Sigma \left(\lambda \ i \to i^{\wedge} \mathcal{Z}\right) x \equiv (\Sigma \left(\lambda \ i \to i\right) x)^{\wedge} \mathcal{Z} \\ \mbox{cubes} & = \ {\sf testStmt} \left(\sigma \left(\iota_1 \overset{\odot}{\to} \mathcal{Z}\right)\right) (\sigma \ \iota_1 \overset{\odot}{\to} \mathcal{Z}) \end{array}
```

In effect, it is enough for us to say so, and the machine will see for itself. The essence of proof is to explain how infinitary statements can be reduced to a finitary collection of tests. By exposing an intrinsic notion of degree for polynomials, their very form tells us how to test them. What is unusual is that these problems do not require any algebraic calculation or symbolic evaluation, just arithmetic. Rod Burstall's casual remark about trying three examples has exposed a remarkably simple way to see truths which are often presented as subtle. Indeed, Rod's guidance it is that has me striving for vantage points from which the view is clear. This paper is for him.

## References

Luo Z, Pollack R (1992) LEGO Proof Development System: User's Manual. Tech. rep., LFCS, University of Edinburgh