



Introduction to Homotopy Type Theory

Lecture 2: Homotopy levels and equivalences

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Recap of yesterday

Martin-Löf Type Theory: type theory with dependent pair types (Σ), dependent function types (Π), a universe of small types, and identity types ($x =_A y$).

Homotopy Type Theory: new perspective on identity types based on intuitions from homotopical models.

Paths between paths: Proving properties of identity types using path induction.

New axioms: function extensionality and the Univalence Axiom.

The Univalence Axiom

Univalence Axiom

The canonical function $\text{idtoeqv} : (A =_{\mathcal{U}} B) \rightarrow (A \simeq B)$ is an equivalence.

Today's goal is to make sense of this axiom, and the notion of equivalence in particular.

Outline

1. Homotopy levels
2. Definition and properties of equivalences

Homotopy n -types

- ▶ A is **contractible** if we can prove

$$\text{isContr}(A) := (\Sigma x : A)(\Pi y : A)(x =_A y)$$

- ▶ A is a **proposition** (subsingleton) if we can prove

$$\text{isProp}(A) := (\Pi x, y : A)(x =_A y)$$

- ▶ A is a **set** if every type $x =_A y$ is a proposition:

$$\text{isSet}(A) := (\Pi x, y : A)(\text{isProp}(x =_A y))$$

Examples

- ▶ The type **0** is
- ▶ The type **1** is
- ▶ The type **2** is

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- ▶ The type **2** is a set: there are no non-trivial paths between elements.

Theorem (exercise)

$$\text{isContr}(A) \rightarrow (A \rightarrow \text{isContr}(A)) \leftrightarrow \text{isProp}(A) \rightarrow \text{isSet}(A)$$

Proving that types are sets

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Definition

A type A is **decidable** if there is a term $\text{dec}_A : A + \neg A$.

Note: $\neg A :\equiv A \rightarrow \mathbf{0}$.

By normalising dec_A , we find out if A holds or not.

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Definition

A type A has **decidable equality** if all path spaces $x =_A y$ are decidable, i.e. there is a term

$$\text{decEq}_A : (\prod x, y : A) ((x =_A y) + \neg(x =_A y))$$

Hedberg's Theorem

Theorem ([Hedberg 1998])

If a type A has decidable equality, then it is a set.

Examples

- ▶ $\text{isSet}(\mathbf{2})$
- ▶ $\text{isSet}(\mathbb{N})$
- ▶ $\text{isSet}(A) \rightarrow \text{isSet}(\text{List } A)$
- ▶ ...

Properties of contractible types

Theorem (“Vacuum cord principle”)

Singletons are contractible: for $a : A$, $\text{isContr}((\sum x : A)(x = a))$.

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Theorem

- ▶ *A is contractible if and only if $A \simeq \mathbf{1}$.*
- ▶ *If A is contractible then $((\sum x : A)B(x)) \simeq B(x_0)$, where x_0 is the centre of contraction.*
- ▶ *If $B(x)$ is contractible for all $x : A$ then $((\sum x : A)B(x)) \simeq A$.*

A scenic view of a coastal town with red-tiled roofs and a blue lake, with snow-capped mountains in the background. The town is built on a hillside, and the water is a deep blue. A small boat is visible in the lake. The mountains in the background are covered in snow and have a blueish tint. The sky is clear and blue.

Equivalences

What is an equivalence?

Naive first guess:

Definition

$f : A \rightarrow B$ is a **quasi-equivalence** if we can prove

$\text{qinv}(f) :\equiv$

$$(\Sigma g : B \rightarrow A)((\Pi x : A)(g(f\ x) =_A x) \times (\Pi y : B)(f(g\ y) =_B y))$$

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Surprisingly(?), this could have disastrous consequences.

Quasi-equivalences with coherence

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Quasi-equivalence proofs (g, η, ϵ) with a coherence condition.

We define $A \simeq B ::= (\Sigma f : A \rightarrow B)(\text{isEquiv}(f))$.

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Better news: $\text{isEquiv}(f)$ is always a proposition.

Proof of better news

Theorem

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Trick: By Univalence, $(f, e) : A \simeq B$ is of the form $\text{idtoeqv}(p)$ for some $p : A = B$. By path induction, p is refl_A , and f is id_A .

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Theorem

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$$\text{idtoqinv} : A =_{\mathcal{U}} B \rightarrow (\Sigma f : A \rightarrow B)(\text{qinv}(f))$$

is not a quasi-equivalence.

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If idtoqinv is a quasi-equivalence, then every quasi-equivalence $f : A \rightarrow B$ is coherent:



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In particular, every $(\text{id}_A, \text{id}_A, \eta, \epsilon)$ for any $\eta, \epsilon : (\Pi x : A)(x =_A x)$ is coherent, i.e. $\eta = \epsilon$.



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But there are A such that $(\Pi x : A)(x =_A x)$ is not a proposition (Sunday); a contradiction. □

Morals of the story

- ▶ Univalence states that we may treat every equivalence as the identity equivalence.
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- ▶ This is consistent because there are no properties to distinguish the identity equivalence from any other — not true for quasi-equivalences.
- ▶ Shows the importance of constructing a model to ensure consistency. . .

Special cases

- ▶ If $\text{isProp}(P)$ and $\text{isProp}(Q)$ and $P \leftrightarrow Q$, then $P \simeq Q$ (hence $P =_{\mathcal{U}} Q$ by Univalence).
- ▶ If $\text{isSet}(A)$ and $\text{isSet}(B)$ and there is a quasi-equivalence $A \rightarrow B$, then $A \simeq B$.

Equivalent formulations of equivalences

That $f : A \rightarrow B$ is an equivalence can be defined in different ways:

- ▶ **Voevodsky:** $(\prod y : B)(\text{isContr}((\sum x : A)(f\ x =_B\ y)))$

The preimage of each y is a singleton

- ▶ **Joyal:** $(\sum g, h : B \rightarrow A)((g \circ f = \text{id}) \times (f \circ h = \text{id}))$

The function f has both a left inverse g and a right inverse h

- ▶ **Lumsdaine:** $(A \simeq B)$ is equivalent to the type

$$(\sum R : A \rightarrow B \rightarrow \mathcal{U})((\prod a : A)(\text{isContr}((\sum b : B)R\ a\ b)) \times (\prod b : B)(\text{isContr}((\sum a : A)R\ a\ b)))$$

There exists a “bi-functional” relation R

Exercises

See `lec2-exercises.agda`.

Summary

- ▶ Hierarchy of homotopy levels:

$$\text{isContr}(A) \rightarrow \text{isProp}(A) \rightarrow \text{isSet}(A) \rightarrow \dots$$

- ▶ Coherent notion of equivalence

$$A \simeq B \equiv (\Sigma f : A \rightarrow B)(\text{isEquiv}(f))$$

Properties:

- ▶ $\text{qinv}(f) \rightarrow \text{isEquiv}(f)$
- ▶ $\text{isEquiv}(f) \rightarrow \text{qinv}(f)$
- ▶ $\text{isProp}(\text{isEquiv}(f))$
- ▶ Proving equivalences by chaining together basic ones.

Coming up on Saturday:

- ▶ Logic in HoTT: Propositions-as-some-types

References



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