# Comprehensive parametric polymorphism

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Contraction of the

#### Joint work with...



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Parametric polymorphism [Strachey, 1967]

• A polymorphic program

 $t: \forall \alpha. A$ 

is parametric if it applies the same uniform algorithm at all instantiations t[B] of its type parameter.

• Typical example:

 $\texttt{reverse}: \forall \alpha. \texttt{List} \ \alpha \rightarrow \texttt{List} \ \alpha$ 

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- Turn the negative statement "not distinguishing types" into the positive statement "preserves all relations".
- A polymorphic program  $t : \forall \alpha. A$  is relationally parametric if for all relations  $R \subseteq B \times B'$ ,

 $(t[B], t[B']) \in \langle A \rangle(R)$ 

where  $\langle A \rangle(R) \subseteq A(B) \times A(B')$  is the relational interpretation of the type A.

• E.g. reverse :  $\forall \alpha$ . List  $\alpha \rightarrow$  List  $\alpha$  is relationally parametric.

Applications of relational parametricity

Relational parametricity enables:

- Reasoning about abstract data types.
- Correctness (universal properties) of encodings of data types.
- 'Theorems for free!' [Wadler, 1989].
- Concretely, a specific example: if  $t : \forall \alpha. \alpha \rightarrow \alpha$  then  $t = \Lambda \alpha. \lambda x. x$ .

Usually in the setting of Girard's/Reynold's  $\lambda 2$  (System F) — serves as a model type theory for (impredicative) polymorphism.

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- We also know the fundamental structures used for relational parametricity (reflexive graph categories [Robinson and Rosolini, 1994], parametricity graphs [Dunphy and Reddy, 2004]).

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- We also know the fundamental structures used for relational parametricity (reflexive graph categories [Robinson and Rosolini, 1994], parametricity graphs [Dunphy and Reddy, 2004]).
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#### So why not just combine the two?

- When doing so, the expected consequences of parametricity are only derivable if the underlying category is well-pointed.
- Recall: A category C is well-pointed when f = g : A → B in C iff f ∘ e = g ∘ e : 1 → B for all global elements e : 1 → A.
- This rules out many interesting categories, e.g. functor categories.

#### So why not just combine the two?

- When doing so, the expected consequences of parametricity are only derivable if the underlying category is well-pointed.
- Recall: A category  $\mathbb{C}$  is well-pointed when  $f = g : A \longrightarrow B$  in  $\mathbb{C}$  iff  $f \circ e = g \circ e : 1 \longrightarrow B$  for all global elements  $e : 1 \longrightarrow A$ .
- This rules out many interesting categories, e.g. functor categories.
- Existing solutions (e.g. Birkedal and Møgelberg [2005]) circumvent this by adding significant additional structure to models (enough to model the full logic of Plotkin and Abadi).
- We seek instead a minimial solution still based on the idea of directly combining models of  $\lambda 2$  with structure for relational parametricity.

### A minimal solution

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- We achieve this in a perhaps unexpected way: we change the notion of model of λ2.
- $\lambda 2$  fibrations satisfying Lawvere's comprehension property.
- This allows us to combine such comprehensive  $\lambda 2$  fibrations with reflexive graph structure to model relational parametricity for  $\lambda 2$ .
- Validating expected consequences, also for non-well-pointed categories.
- Proof involves novel ingredients due to minimality of structure:
  - definability of direct image relations,
  - arguments without use of equality relations, and
  - only weak forms of graph relations available ('pseudographs').

#### Outline

- **0** The type theory  $\lambda 2$
- **2** Modelling  $\lambda 2$  using (comprehensive)  $\lambda 2$  fibrations
- Modelling relational parametricity using (comprehensive) parametricity graphs
- Reasoning about parametricity using a type theory  $\lambda 2R$

Breton City of Ys; the Cornish Land of Lyonesse (impossibly locared) Breton Cacob Associated berry Brench He Verte; the Portuguese Jbarry all are variants of this legend. But if what the Egyptian priests really read all are variants or the rook place in the Far West, and that the survivors must that the disaster took place in the Far West, and that the survivors must be the survivors must be the survivors must be survivors the country of the Atlantians, mentioned by Diodorus Sicolas (see

448) as a most civilised people living to the westward of Lake Tritonis 448) as a most common common meaning the matriarchal tribes later described Herodotus, seized their city of Cerne. Diodorus's legend cannot be arch herodotus, which he makes it precede a Libyan invasion of the Account Islands and Thrace, an event which cannot have taken place later than the ster millennium BC. If, then, Atlantis was Western Libya, the floods which caused to disappear may have been due either to a phenomenal rainfall such as caused the famous Mesopotamian and Ogygian Floods (see pages 138-0), or to a high tide with a strong north-westerly gale, such as washed away a large part of the

Castor and Polydences, Messenia with Idas and Lynceus, Argos with Proems and Acrisius, Tiryns with Heracles and Iphicles, Thebes with Eteocles and don only after the fall of Cnossus, when commercial integrity declined and

eranding of the Sanskrit word pramantha, the swastika, or fire-drill, which he had supposedly invented, since Zeus Prometheus at Thurii was shown holding a fire-drill. Prometheus, the Indo-European folk-hero, became confused with the Carian hero Palamedes, the inventor or distributor of all civilised arts (under the goddess's inspiration); and with the Babylonian god Fa, who claimed to have created a splendid man from the blood of Kingu (a sort of Cronus), while the Mother-goddess Aruru created an inferior man from clay. The brothers Pramanthu and Manthu, who occur in the Bhagavata Punana, a Sanskrit epic, may be prototypes of Prometheus and Epimer

# The type theory $\lambda 2$

The island left in the centre of the lake mentioned by Diodorus (see page 447) was perhaps the Chaamba Bou Rouba in the Sahara. Diodorus seems to be referring to such a catastrophe when he writes in his account of the Amazons and Atlantians (iii. 55): 'And it is said that, as a result of earthquakes, the parts of Libya towards the ocean engulfed Lake Tritonis, making it disappear. Since Lake Tritonis still existed in his day, what he had probably been told was that 'as a result of earthquakes in the Western Mediterranean the sea engulied part of Libya and formed Lake Tritonis.' The Zuider Zee and the Copaid Lake have now both been reclaimed; and Lake Tritonis, which, according to Scylax, still covered 900 square miles in Classical times, has shrunk to the saltmarshes of Chott Melghir and Chott el Jerid. If this was Atlantis, some of the dispossessed agriculturists were driven west to Morocco, others south across the Sahara, others east to Egypt and beyond, taking their story with them; a few remained by the lakeside. Plato's elephants may well have been found in this territory, though the mountainous coastline of Atlantis belongs to Crete, of which the sca-hating Egyptians knew only by hearsay.

The five pairs of Poseidon's twin sons who took the oath of allegiance to Atlas will have been representatives at Pharos of Keftiu kingdom's allied to the Cretans. In the Mycenacan Age double-sovereignty was the rule. Sparta with \* Since this was written, history has repeated itself disastrously.

frivolous and unseemly behaviour of wives. His story of the division of the bull is equally unmythical: a comic anecdote, invented to account for Prometheus's punishment, and for the anomaly of presenting the gods only with the thighbones and fat cut from the sacrificial beast. In Genesis the sanctity of the thighwrestling match. Pandora's jar (not box) originally contained winged souls

Greek islanders still carry fire from one place to another in the nith of giant fennel, and Prometheus's enchainment on Mount Caucasus may be a legend picked up by the Hellenes as they migrated to Greece from the Caspian Sea: of a frost-giant, recumbent on the snow of the high peaks, and attended by a flock of vultures.

The Athenians were at pains to deny that their goddess took Prometheus as her lover, which suggests that he had been locally identified with Hephaestus, another fire-god and inventor, of whom the same story was told (see page 98) because he shared a temple with Athene on the Acropolis.

Menoetius ('ruined strength') is a sacred king of the oak cult, the name refers perhaps to his ritual maiming (see pages 48 and 170).

While the right-handed swastika is a symbol of the sun, the left-handed is a symbol of the moon. Among the Akan of West Africa, a people of Libyo-Berber ancestry (see introduction, end), it represents the Triple-goddess Ngame.

The polymorphic lambda calculus  $\lambda 2$  (System F) [Girard, 1972; Reynolds, 1974]

Four judgements:

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Γ ctxt	Γ is a context
$\Gamma \vdash A$ type	A is a type in context $\Gamma$
$\Gamma \vdash t : A$	term <i>t</i> has type A in context Γ
$\vdash t = s : A$	judgemental equality

• Types and terms generated by grammars

$$\begin{array}{ll} A,B ::= \alpha \mid A \rightarrow B \mid \forall \alpha. A & \text{types} \\ t,s ::= x \mid \lambda x. \ t \mid t \ s \mid \Lambda \alpha. \ t \mid t[B] & \text{terms} \end{array}$$

• Equality generated by  $(\beta)$  and  $(\eta)$  for both term and type abstraction.

Only unusual feature of our presentation

- We use a single context with type and term variables interleaved.
- Standard from a dependent types perspective.
- Hence two different context extensions:

$$\frac{\Gamma \operatorname{ctxt}}{\Gamma, \ \alpha \ \operatorname{ctxt}} \ (\alpha \notin \Gamma) \qquad \qquad \frac{\Gamma \operatorname{ctxt}}{\Gamma, \ x : A \ \operatorname{ctxt}} \ (x \notin \Gamma)$$

# Models of $\lambda 2$

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# $\lambda \mathbf{2}$ fibrations [Seely, 1987; see also Jacobs, 1999]

#### Definition ( $\lambda 2$ fibration)

A  $\lambda 2$  fibration is a fibration  $p : \mathbb{T} \to \mathbb{C}$ , where the base category  $\mathbb{C}$  has finite products, and the fibration:

- is fibred cartesian closed;
- **2** has a generic object U we write  $\Omega$  for p U;
- **(a)** and has fibred-products along projections  $X \times \Omega \longrightarrow X$  in  $\mathbb{C}$ .

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**(a)** and has fibred-products along projections  $X \times \Omega \longrightarrow X$  in  $\mathbb{C}$ . Moreover, the reindexing functors given by the splitting should preserve the above-specified structure in fibres on the nose.

- Fibration  $p:\mathbb{T}\to\mathbb{C},\ \mathbb{C}$  has finite products.
  - $\blacktriangleright$   $\mathbb C$  category of type variable contexts and substitutions.
  - Products are context concatenation.



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  - Reindexing is substitution.



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  - "Every type arises uniquely by substitution from a generic type".
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- ... and has fibred-products along projections  $\Gamma \times \Omega \longrightarrow \Gamma$  in  $\mathbb{C}$ .
  - Each reindexing functor  $\pi_{\Omega}^* : \mathbb{T}_{\Gamma} \to \mathbb{T}_{\Gamma \times \Omega}$  has a right adjoint  $\prod_{\Omega} : \mathbb{T}_{\Gamma \times \Omega} \to \mathbb{T}_{\Gamma}$ .
  - ▶ Needed for  $\forall$ .

 Given context Γ, let Θ = α<sub>1</sub>,..., α<sub>n</sub> and Δ = x<sub>1</sub> : A<sub>1</sub>,..., x<sub>m</sub> : A<sub>m</sub> be the type and term variable components of Γ.

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 The combined context made things awkward; let's fix that by modifying the notion of model and giving a new interpretation.
#### Our modification: one new ingredient

We take inspirations from models of dependent types, where separated contexts are not possible.

#### Definition (Comprehensive $\lambda 2$ fibration)

A  $\lambda 2$  fibration  $p : \mathbb{T} \to \mathbb{C}$  is comprehensive if it enjoys the comprehension property: the fibred-terminal-object functor  $X \mapsto \mathbf{1}_X : \mathbb{C} \to \mathbb{T}$  has a specified right adjoint  $K : \mathbb{T} \to \mathbb{C}$ .

- Given  $A \in \mathbb{T}_{\Gamma}$ , think of K(A) as the extended context  $\Gamma, x : A$ .
- For A ∈ T<sub>Γ</sub>, write κ<sub>A</sub> = p(ε<sub>A</sub>) : K(A) → Γ for the 'projection' map obtained by applying p to the counit ε<sub>A</sub> : 1<sub>K(A)</sub> → A in T.

#### Interpretation in a comprehensive $\lambda \mathbf{2}$ fibration

- Contexts  $\Gamma$  interpreted as object  $[\![\Gamma]\!]$  in  $\mathbb{C}.$
- Type  $\Gamma \vdash A$  type interpreted as object  $\llbracket A \rrbracket_{\Gamma}$  in  $\mathbb{T}_{\llbracket \Gamma \rrbracket}$ .

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- Mutually defined, simultaneously with maps  $\pi^{\alpha}_{\Gamma} \colon \llbracket \Gamma \rrbracket \longrightarrow \Omega$  for every context  $\Gamma$  containing  $\alpha$ .

$$\begin{split} \llbracket \cdot \rrbracket &= \mathbf{1} & \llbracket \alpha \rrbracket_{\Gamma} = (\pi_{\Gamma}^{\alpha})^{*} U \\ \llbracket \Gamma, \alpha \rrbracket &= \llbracket \Gamma \rrbracket \times \Omega & \llbracket A \to B \rrbracket_{\Gamma} = \llbracket A \rrbracket_{\Gamma} \Rightarrow_{\llbracket \Gamma \rrbracket} \llbracket B \rrbracket_{\Gamma} \\ \llbracket \Gamma, x : A \rrbracket &= K \llbracket A \rrbracket_{\Gamma} & \llbracket \forall \alpha. A \rrbracket = \prod_{\Omega} \llbracket A \rrbracket_{\Gamma, \alpha} \\ \pi_{\Gamma, \alpha}^{\alpha} &= \pi_{2} & \pi_{\Gamma, \beta}^{\alpha} = \pi_{\Gamma}^{\alpha} \circ \pi_{1} (\beta \neq \alpha) & \pi_{\Gamma, x:A}^{\alpha} = \pi_{\Gamma}^{\alpha} \circ \kappa_{\llbracket A \rrbracket_{\Gamma}} \end{split}$$

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$$\llbracket t \rrbracket_{\Gamma} \colon \mathbf{1}_{\llbracket \Gamma \rrbracket} \longrightarrow \llbracket A \rrbracket_{\Gamma} \qquad \text{in } \mathbb{T}_{\llbracket \Gamma \rrbracket}$$

#### For future reference

Compare the interpretation of terms in standard and comprehensive  $\lambda {\bf 2}$  fibrations:

• 
$$\llbracket t \rrbracket_{\Theta;\Delta} : \llbracket \Delta \rrbracket o \llbracket A \rrbracket$$
 in  $\mathbb{T}_{\llbracket \Theta \rrbracket}$  (old-fashioned, standard)

• versus global element

$$\llbracket t \rrbracket_{\Gamma} \colon \mathbf{1}_{\llbracket \Gamma \rrbracket} \longrightarrow \llbracket A \rrbracket_{\Gamma} \qquad \text{in } \mathbb{T}_{\llbracket \Gamma \rrbracket}$$

(comprehensive)

#### Soundness and completeness

#### Theorem (Soundness for $\lambda 2$ )

If  $\Gamma \vdash t_1 = t_2$ : A then, in every comprehensive  $\lambda 2$  fibration, we have  $[t_1]_{\Gamma} = [t_2]_{\Gamma}$ .

#### Theorem (Full completeness for $\lambda 2$ )

There exists a comprehensive  $\lambda 2$  fibration satisfying:

- O for every type Γ ⊢ A type, every global point 1<sub>[[Γ]</sub> → [[A]]<sub>Γ</sub> is the denotation [[t]]<sub>Γ</sub> of some term Γ ⊢ t : A; and
- **2** for all terms  $\Gamma \vdash t_1, t_2 : A$  satisfying  $\llbracket t_1 \rrbracket_{\Gamma} = \llbracket t_2 \rrbracket_{\Gamma}$ , we have  $\Gamma \vdash t_1 = t_2 : A$ .

# (Comprehensive) parametricity graphs

#### Incorporating relational parametricity

- These models do not model parametricity.
- In order to do so, we combine with the structure of reflexive graph categories [Ma and Reynolds, 1992; Robinson and Rosolini, 1994; O'Hearn and Tennent, 1995; ...].
- Simple category-theoretic structure for modelling relations.

#### Reflexive graph categories



- Categories V and E, where we think if E as category of relations over objects of V.
- The functors  $\nabla_1, \nabla_2$  are 'projection' functors giving source and target of relations, respectively, and  $\Delta$  maps an object to its 'identity relation'.

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- The functors  $\nabla_1, \nabla_2$  are 'projection' functors giving source and target of relations, respectively, and  $\Delta$  maps an object to its 'identity relation'.
- Notation:  $R: A \leftrightarrow B$  means  $R \in \mathbb{E}$  and  $\nabla_1 R = A$ ,  $\nabla_2 R = B$ .
- Similarly, write  $f \times g \colon R \longrightarrow S$  if there is  $h \colon R \longrightarrow S$  in  $\mathbb{E}$  with  $\nabla_1 h = f$  and  $\nabla_2 h = g$ . (Will soon assume h is unique, if it exists.)



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- Relational if  $\langle \nabla_1, \nabla_2 \rangle \colon \mathbb{E} \to \mathbb{V} \times \mathbb{V}$  is faithful. Intuitively, relations are proof-irrelevant.
- Identity property if for every  $h: \Delta A \longrightarrow \Delta B$  in  $\mathbb{E}$ , it holds that  $\nabla_1 h = \nabla_2 h$ . Allows one to think of  $\Delta A$  as an identity relation on A.

$$\mathbb{E} \xrightarrow[\nabla_2]{\nabla_1} \mathbb{V}$$

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- Parametricity graph: relational, with the identity property, and  $\langle \nabla_1, \nabla_2 \rangle \colon \mathbb{E} \to \mathbb{V} \times \mathbb{V}$  a fibration. Ensures that there are enough relations by supplying inverse image relations.

#### Combining reflexive graphs and comprehensive $\lambda \mathbf{2}$ fibrations

Combining reflexive graphs and comprehensive  $\lambda \mathbf{2}$  fibrations

#### Main definition (Comprehensive $\lambda 2$ parametricity graph)

A comprehensive  $\lambda {\bf 2}$  parametricity graph is a reflexive graph of comprehensive  $\lambda {\bf 2}$  fibrations



which is "fibrewise" a parametricity graph.

Combining reflexive graphs and comprehensive  $\lambda \mathbf{2}$  fibrations

#### Main definition (Comprehensive $\lambda 2$ parametricity graph)

A comprehensive  $\lambda {\bf 2}$  parametricity graph is a reflexive graph of comprehensive  $\lambda {\bf 2}$  fibrations



which is "fibrewise" a parametricity graph.

Note: Recover "broken" definition by dropping comprehensive.

## A type theory for reasoning about parametricity

Reasoning in models: a type theory  $\lambda \mathbf{2R}$ 

- We construct a type theory  $\lambda 2R$  which is the 'internal language' of comprehensive  $\lambda 2$  parametricity graphs.
- By proving soundness and completeness, we can work in λ2R instead of directly in the model.
- λ2R is similar in many respects to System R [Abadi, Cardelli and Curien, 1993] and System P [Dunphy, 2002].

Reasoning in models: a type theory  $\lambda \mathbf{2R}$ 

- We construct a type theory  $\lambda 2R$  which is the 'internal language' of comprehensive  $\lambda 2$  parametricity graphs.
- By proving soundness and completeness, we can work in λ2R instead of directly in the model.
- λ2R is similar in many respects to System R [Abadi, Cardelli and Curien, 1993] and System P [Dunphy, 2002].
- Not a conservative extension of  $\lambda 2$  parametric models enjoy much stronger properties than arbitrary models (for which  $\lambda 2$  is internal language).

 $\lambda \mathbf{2R}$  extends  $\lambda \mathbf{2}$  with three new judgements:

$\Theta$ rctxt	$\Theta$ is a relational context
$\Theta dash A_1 R A_2$ rel	$R$ is a relation between types $A_1$ and $A_2$
$\Theta \vdash (t_1:A_1)R(t_2:A_2)$	$t_1: A_1$ is related to $t_2: A_2$ by the relation $R$

#### Relation formation rules

$$\frac{\Theta \vdash A_1 R A_2 \text{ rel } \Theta \vdash B_1 S B_2 \text{ rel }}{\Theta \vdash (A_1 \to B_1)(R \to S)(A_2 \to B_2) \text{ rel }}$$

$$\frac{\Theta, \, \alpha \rho \beta \vdash A_1 R A_2 \text{ rel}}{\Theta \vdash (\forall \alpha. A_1) (\forall \alpha \rho \beta. R) (\forall \beta. A_2) \text{ rel}}$$

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$$\frac{\Theta \vdash B_1 R B_2 \text{ rel } (\Theta)_1 \vdash t_1 : A_1 \to B_1 \quad (\Theta)_2 \vdash t_2 : A_2 \to B_2}{\Theta \vdash A_1([t_1 \times t_2]^{-1}R)A_2 \text{ rel}}$$

(Will get back to projections  $(-)_i$  soon.)

Direct image relations

$$\begin{array}{c|c} \Theta \vdash A_1 R A_2 \ \mathsf{rel} & (\Theta)_1 \vdash t_1 : A_1 \to B_1 & (\Theta)_2 \vdash t_2 : A_2 \to B_2 \\ \hline & \Theta \vdash B_1([t_1 \times t_2]_! R) B_2 \ \mathsf{rel} \end{array}$$

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are definable by the impredicative encoding

$$[t_1 \times t_2]_! R \coloneqq [i_{B_1} \times i_{B_2}]^{-1} (\forall \alpha \rho \beta. ([(-\circ t_1) \times (-\circ t_2)]^{-1} (R \to \rho)) \to \rho)$$

where  $i_B$  abbreviates  $\lambda b. \Lambda \alpha. \lambda t. t b : B \to \forall \alpha. (B \to \alpha) \to \alpha.$ 

Direct image relations

$$\begin{array}{c|c} \Theta \vdash A_1 R A_2 \ \mathsf{rel} & (\Theta)_1 \vdash t_1 : A_1 \to B_1 & (\Theta)_2 \vdash t_2 : A_2 \to B_2 \\ & \Theta \vdash B_1([t_1 \times t_2]_! R) B_2 \ \mathsf{rel} \end{array}$$

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are definable by an impredicative encoding.

Semantically, this means:

#### Theorem

In any comprehensive  $\lambda 2$  parametricity graph, the functors

$$\langle 
abla_1^{\mathbb{T}}, 
abla_2^{\mathbb{T}} 
angle \models_{\mathcal{R}(\mathbb{T})_W} : \mathcal{R}(\mathbb{T})_W o \mathbb{T}_{
abla_1^{\mathbb{C}}W} imes \mathbb{T}_{
abla_2^{\mathbb{C}}W}$$

are also opfibrations (hence bifibrations).

#### Operations on syntax

• Left and right projections  $(\cdot)_1$ ,  $(\cdot)_2$  from relational contexts to typing contexts.

$$\begin{aligned} (\cdot)_i &= \cdot \\ (\Theta, \, \alpha_1 \rho \alpha_2)_i &= (\Theta)_i, \, \alpha_i \\ (\Theta, \, (x_1 : A_1) R(x_2 : A_2))_i &= (\Theta)_i, \, x_i : A_i \end{aligned}$$

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- Conversely, a "doubling" operation takes typing contexts to relational contexts.
- Mutually defined with a "relational interpretation"  $\langle A \rangle$  of types A.

$$\begin{array}{l} \langle \cdot \rangle = \cdot & \langle \alpha \rangle = \rho^{\alpha} \\ \langle \Gamma, \alpha \rangle = \langle \Gamma \rangle, \ \alpha \ \rho^{\alpha} \alpha & \langle A \rightarrow B \rangle = \langle A \rangle \rightarrow \langle B \rangle \\ \langle \Gamma, x : A \rangle = \langle \Gamma \rangle, \ (x : A) \langle A \rangle (x : A) & \langle \forall \alpha . A \rangle = \forall \alpha \ \rho^{\alpha} \alpha . \ \langle A \rangle \end{array}$$

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• Note: Left and right hand side treated separately, so e.g.  $\alpha \rho^{\alpha} \alpha$  equivalent to  $\alpha \rho \beta$  if everything fresh.

#### Reflexive graph structure on syntax

#### Lemma

Second item is Reynolds' Abstraction Theorem in our setting.

Relatedness rules: standard relation formers

$$\overline{\Thetadash(x_1:A_1)R(x_2:A_2)}\;((x_1:A_1)R(x_2:A_2)\in\Theta)$$

$$\frac{\Theta,\,(x_1:A_1)R(x_2:A_2)\vdash(t_1:B_1)S(t_2:B_2)}{\Theta\vdash(\lambda x_1.\,t_1:A_1\rightarrow B_1)(R\rightarrow S)(\lambda x_2.\,t_2:A_2\rightarrow B_2)}$$

$$\frac{\Theta \vdash (s_1:A_1 \rightarrow B_1)(R \rightarrow S)(s_2:A_2 \rightarrow B_2)}{\Theta \vdash (s_1 t_1:B_1)S(s_2 t_2:B_2)} \frac{\Theta \vdash (t_1:A_1)R(t_2:A_2)}{\Theta \vdash (s_1 t_1:B_1)S(s_2 t_2:B_2)}$$

$$\frac{\Theta, \alpha \rho \beta \vdash (t_1 : A_1) R(t_2 : A_2)}{\Theta \vdash (\Lambda \alpha. t_1 : \forall \alpha. A_1) (\forall \alpha \rho \beta. R) (\Lambda \beta. t_2 : \forall \beta. A_2)}$$

 $\frac{\Theta \vdash (t_1 : \forall \alpha. A_1) (\forall \alpha \rho \beta. R) (t_2 : \forall \beta. A_2) \quad \Theta \vdash B_1 S B_2 \text{ rel}}{\Theta \vdash (t_1[B_1] : A_1[\alpha \mapsto B_1]) R[\alpha \rho \beta \mapsto B_1 S B_2] (t_2[B_2] : A_2[\beta \mapsto B_2])}$ 

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 $\frac{\Theta, (x_1:A_1)R(x_2:A_2) \vdash (t_1:B_1)S(t_2:B_2)}{\Theta \vdash (\lambda x_1. t_1:A_1 \rightarrow B_1)(R \rightarrow S)(\lambda x_2. t_2:A_2 \rightarrow B_2)}$ 

### $\frac{\Theta \vdash (s_1:A_1 \rightarrow B_1)(R \rightarrow S)(s_2:A_2 \rightarrow B_2) \qquad \Theta \vdash (t_1:A_1)R(t_2:A_2)}{\Theta \vdash (s_1 \ t_1:B_1)S(s_2 \ t_2:B_2)}$

 $\frac{\Theta, \alpha \rho \beta \vdash (t_1 : A_1) R(t_2 : A_2)}{\Theta \vdash (\Lambda \alpha. t_1 : \forall \alpha. A_1) (\forall \alpha \rho \beta. R) (\Lambda \beta. t_2 : \forall \beta. A_2)}$ 

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 $\frac{\Theta \vdash (s_1:A_1 \rightarrow B_1)(R \rightarrow S)(s_2:A_2 \rightarrow B_2)}{\Theta \vdash (s_1:t_1:B_1)S(s_2:t_2:B_2)} \xrightarrow{\Theta \vdash (t_1:A_1)R(t_2:A_2)}$ 

 $\frac{\Theta, \, \alpha \rho \beta \vdash (t_1 : A_1) R(t_2 : A_2)}{\Theta \vdash (\Lambda \alpha. \, t_1 : \forall \alpha. A_1) (\forall \alpha \rho \beta. \, R) (\Lambda \beta. \, t_2 : \forall \beta. A_2)}$ 

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Relatedness rules: inverse image relations and substitution

$$\frac{\Theta \vdash (t_1 \ u_1 : B_1) R(t_2 \ u_2 : B_2)}{\Theta \vdash (u_1 : A_1) ([t_1 \times t_2]^{-1} R) (u_2 : A_2)}$$

$$\frac{\Theta \vdash (t_1:A_1)R(t_2:A_2)}{\Theta \vdash (s_1:A_1)R(s_2:A_2)} \frac{\Theta_1 \vdash t_1 = s_1:A_1}{\Theta \vdash (s_1:A_1)R(s_2:A_2)}$$

#### One more rule: the parametricity rule

- The system get its power from inverse image relations together with the parametricity rule.
- Recall: If  $\Gamma \vdash t : A$  then  $\langle \Gamma \rangle \vdash (t : A) \langle A \rangle (t : A)$ .
### One more rule: the parametricity rule

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- Recall: If  $\Gamma \vdash s = t : A$  then  $\langle \Gamma \rangle \vdash (s : A) \langle A \rangle (t : A)$ .
- Parametricity rule states converse:

$$\frac{\langle \Gamma \rangle \vdash (s:A) \langle A \rangle (t:A)}{\Gamma \vdash s = t:A}$$

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- The system get its power from inverse image relations together with the parametricity rule.
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- Parametricity rule states converse:

$$rac{\langle \Gamma 
angle \ dash (s:A) \langle A 
angle (t:A)}{\Gamma dash s = t:A}$$

- So  $\langle A \rangle$  is the equality relation? No! Only in closed contexts.
- In fact, for open types,  $\langle A \rangle$  is not even a homogeneous relation, since  $\langle \alpha \rangle = \alpha \rho \beta$ .

### Interpretation in comprehensive $\lambda \mathbf{2}$ parametricity graphs



- $\lambda 2$  interpreted in *p*, as before.
- Relational context  $\Theta$  interpreted as an object  $\llbracket \Theta \rrbracket$  in  $\mathcal{R}(\mathbb{C})$ .
- Syntactic relation  $\Theta \vdash ARB$  rel interpreted as a semantic relation  $[\![R]\!]_{\Theta} : [\![A]\!]_{(\Theta)_1} \leftrightarrow [\![B]\!]_{(\Theta)_2}$  in  $\mathcal{R}(\mathbb{T})_{[\![\Theta]\!]}$  using  $\lambda 2$  structure.

 Inverse-image relation Θ ⊢ A<sub>1</sub>([t<sub>1</sub> × t<sub>2</sub>]<sup>-1</sup>R)A<sub>2</sub> rel interpreted using the *fibration* property of the parametricity graph:

$$\llbracket t_1 \rrbracket_{(\Theta)_1} : \mathbf{1} \longrightarrow \llbracket A_1 \rrbracket_{(\Theta)_1} \Rightarrow \llbracket B_1 \rrbracket_{(\Theta)_1}$$
$$\llbracket t_2 \rrbracket_{(\Theta)_2} : \mathbf{1} \longrightarrow \llbracket A_2 \rrbracket_{(\Theta)_2} \Rightarrow \llbracket B_2 \rrbracket_{(\Theta)_2}$$

 Inverse-image relation Θ ⊢ A<sub>1</sub>([t<sub>1</sub> × t<sub>2</sub>]<sup>-1</sup>R)A<sub>2</sub> rel interpreted using the *fibration* property of the parametricity graph:

$$\llbracket t_1 \rrbracket_{(\Theta)_1} : \mathbf{1} \times \llbracket A_1 \rrbracket_{(\Theta)_1} \longrightarrow \llbracket B_1 \rrbracket_{(\Theta)_1}$$
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$$\llbracket t_2 \rrbracket_{(\Theta)_2} : \llbracket A_2 \rrbracket_{(\Theta)_2} \longrightarrow \llbracket B_2 \rrbracket_{(\Theta)_2}$$

• Inverse-image relation  $\Theta \vdash A_1([t_1 \times t_2]^{-1}R)A_2$  rel interpreted using the *fibration* property of the parametricity graph:

$$\begin{split} \llbracket t_1 \rrbracket_{(\Theta)_1} &: \llbracket A_1 \rrbracket_{(\Theta)_1} \longrightarrow \llbracket B_1 \rrbracket_{(\Theta)_1} \\ \llbracket t_2 \rrbracket_{(\Theta)_2} &: \llbracket A_2 \rrbracket_{(\Theta)_2} \longrightarrow \llbracket B_2 \rrbracket_{(\Theta)_2} \\ \llbracket R \rrbracket &: \llbracket B_1 \rrbracket_{(\Theta)_1} \leftrightarrow \llbracket B_2 \rrbracket_{(\Theta)_2} \end{split}$$

 Inverse-image relation Θ ⊢ A<sub>1</sub>([t<sub>1</sub> × t<sub>2</sub>]<sup>-1</sup>R)A<sub>2</sub> rel interpreted using the *fibration* property of the parametricity graph:

Have

$$\llbracket t_1 \rrbracket_{(\Theta)_1} : \llbracket A_1 \rrbracket_{(\Theta)_1} \longrightarrow \llbracket B_1 \rrbracket_{(\Theta)_1}$$
$$\llbracket t_2 \rrbracket_{(\Theta)_2} : \llbracket A_2 \rrbracket_{(\Theta)_2} \longrightarrow \llbracket B_2 \rrbracket_{(\Theta)_2}$$

• Reindex  $\llbracket R \rrbracket : \llbracket B_1 \rrbracket_{(\Theta)_1} \leftrightarrow \llbracket B_2 \rrbracket_{(\Theta)_2}$  in the fibration along these maps to interpret  $\llbracket [t_1 \times t_2]^{-1} R \rrbracket : \llbracket A_1 \rrbracket_{(\Theta)_1} \leftrightarrow \llbracket A_2 \rrbracket_{(\Theta)_2}$ .

• If we try to replay the interpretation in the old-fashioned semantics without comprehension, we get:

$$\llbracket t_1 \rrbracket' : (\llbracket \Delta \rrbracket)_1 \longrightarrow (\llbracket A_1 \rrbracket)_1 \Rightarrow (\llbracket B_1 \rrbracket)_1 \llbracket t_2 \rrbracket' : (\llbracket \Delta \rrbracket)_2 \longrightarrow (\llbracket A_2 \rrbracket)_2 \Rightarrow (\llbracket B_2 \rrbracket)_2$$

• If we try to replay the interpretation in the old-fashioned semantics without comprehension, we get:

$$\llbracket t_1 \rrbracket' : (\llbracket \Delta \rrbracket)_1 \times (\llbracket A_1 \rrbracket)_1 \longrightarrow (\llbracket B_1 \rrbracket)_1 \\ \llbracket t_2 \rrbracket' : (\llbracket \Delta \rrbracket)_2 \times (\llbracket A_2 \rrbracket)_2 \longrightarrow (\llbracket B_2 \rrbracket)_2$$

• If we try to replay the interpretation in the old-fashioned semantics without comprehension, we get:

$$\llbracket t_1 \rrbracket' : (\llbracket \Delta \rrbracket)_1 \times (\llbracket A_1 \rrbracket)_1 \longrightarrow (\llbracket B_1 \rrbracket)_1$$
$$\llbracket t_2 \rrbracket' : (\llbracket \Delta \rrbracket)_2 \times (\llbracket A_2 \rrbracket)_2 \longrightarrow (\llbracket B_2 \rrbracket)_2$$

Reindexing along this does not give a relation ([[A<sub>1</sub>]])<sub>1</sub> ↔ ([[A<sub>2</sub>]])<sub>2</sub>!

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- Reindexing along this does not give a relation ([[A<sub>1</sub>]])<sub>1</sub> ↔ ([[A<sub>2</sub>]])<sub>2</sub>!
- So things work because in the new semantics, [[t<sub>i</sub>]]<sub>(Θ)i</sub> are global points. Possible because of use of comprehension.

### Soundness

### Theorem (Soundness for $\lambda 2R$ )

In every comprehensive  $\lambda 2$  parametricity graph:

- **1** if  $\Gamma \vdash t_1 = t_2$ : A then  $\llbracket t_1 \rrbracket_{\Gamma} = \llbracket t_2 \rrbracket_{\Gamma}$ ; and
- $\Im \ if \Theta \vdash (t_1:A_1)R(t_2:A_2) \ then \ [t_1]]_{(\Theta)_1} \times [t_2]]_{(\Theta)_2} \colon \mathbf{1}_{[\Theta]} \longrightarrow [R]_{\Theta}.$

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Substitution in relations sound by relational property.

Parametricity rule sound by *identity* property.

Inverse image rules sound by *fibration* property.

### ...and completeness

### Theorem (Full completeness for $\lambda 2R$ )

There exists a comprehensive  $\lambda \mathbf{2}$  parametricity graph satisfying the following.

- O For every type Γ ⊢ A type, every global point 1<sub>[[Γ]</sub> → [[A]]<sub>Γ</sub> is the denotation [[t]]<sub>Γ</sub> of some term Γ ⊢ t : A.
- **2** For all terms  $\Gamma \vdash t_1, t_2 : A$  satisfying  $\llbracket t_1 \rrbracket_{\Gamma} = \llbracket t_2 \rrbracket_{\Gamma}$ , we have  $\Gamma \vdash t_1 = t_2 : A$ .
- For every relation  $\Theta \vdash A_1 R A_2$  type, every global point  $\mathbf{1}_{\llbracket \Theta \rrbracket} \longrightarrow \llbracket R \rrbracket_{\Theta}$  arises as  $\llbracket t_1 \rrbracket_{(\Theta)_1} \times \llbracket t_2 \rrbracket_{(\Theta)_2}$  for terms  $t_1, t_2$  such that  $\Theta \vdash (t_1 : A_1) R(t_2 : A_2).$

# Deriving the expected consequences

• Want to prove  $\Gamma, z : \forall \alpha. \alpha \rightarrow \alpha \vdash z = \Lambda \alpha. \lambda x. x : \forall \alpha. \alpha \rightarrow \alpha.$ 

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• By extensionality, it is enough to show

$$\mathsf{F}, \mathsf{z}: \forall \alpha. \, \alpha \to \alpha, \alpha, \mathsf{x}: \alpha \vdash \mathsf{z}[\alpha] \, \mathsf{x} = \mathsf{x}: \alpha$$

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• Further by the parametricity rule, it is enough to show

$$\langle \mathsf{\Gamma}, z : \forall \alpha. \, \alpha \to \alpha, \alpha, x : \alpha \rangle \vdash (z[\alpha] \, x : \alpha) \langle \alpha \rangle (x : \alpha)$$

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Further by the parametricity rule, it is enough to show

 $\langle \mathsf{\Gamma} \rangle, z (\forall \alpha \rho \beta. \rho \to \rho) w, \alpha \rho \beta, (x : \alpha) \rho(y : \beta) \vdash (z[\alpha] x : \alpha) \rho(y : \beta)$ 

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•  $(x : \alpha)R(w : \forall \alpha. \alpha \rightarrow \alpha)$  where  $R = ([id \times (\lambda_{.}, y)]^{-1}\rho)$ , since  $x\rho y$ .

• Want to prove  $\Gamma, z : \forall \alpha. \alpha \to \alpha \vdash z = \Lambda \alpha. \lambda x. x : \forall \alpha. \alpha \to \alpha.$ 

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• Further by the parametricity rule, it is enough to show  $\langle \Gamma \rangle, z (\forall \alpha \rho \beta. \rho \rightarrow \rho) w, \alpha \rho \beta, (x : \alpha) \rho(y : \beta) \vdash (z[\alpha] x : \alpha) \rho(y : \beta)$ 

(x: α)R(w: ∀α. α → α) where R = ([id × (λ\_.y)]<sup>-1</sup>ρ), since xρy.
Since z(∀ρ. ρ → ρ)w, by instantiating αρβ = αR(∀β. β → β) (z[α])(R → R)(w[∀β. β → β])

• Want to prove  $\Gamma, z : \forall \alpha. \alpha \to \alpha \vdash z = \Lambda \alpha. \lambda x. x : \forall \alpha. \alpha \to \alpha.$ 

By extensionality, it is enough to show

$$\mathsf{F}, \mathsf{z}: \forall \alpha. \, \alpha \to \alpha, \alpha, \mathsf{x}: \alpha \vdash \mathsf{z}[\alpha] \, \mathsf{x} = \mathsf{x}: \alpha$$

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hence

$$(z[\alpha] x) R(w[\forall \beta. \beta \rightarrow \beta] w)$$

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hence

$$(z[\alpha]x)([\mathrm{id} \times (\lambda_{-}, y)]^{-1}\rho)(w[\forall \beta. \beta \to \beta]w)$$

i.e.

 $(z[\alpha] x : \alpha)\rho(y : \beta).$ 

### The expected consequences

Theorem (Consequences of Parametricity)

System  $\lambda 2R$  proves:

- $0 \ \forall \alpha. \alpha \to \alpha \text{ is } \mathbf{1}.$
- $2 \quad \forall \alpha. (A \to B \to \alpha) \to \alpha \text{ is } A \times B.$

 $0 \forall \alpha. \alpha is 0.$ 

- The type  $\forall \alpha. (T(\alpha) \to \alpha) \to \alpha$  is the carrier of the initial T-algebra for all functorial type expressions  $T(\alpha)$ .
- The type  $\exists \alpha. (\alpha \to T(\alpha)) \times \alpha$  is the carrier of the final T-coalgebra for all functorial type expressions  $T(\alpha)$ .
- Output: Terms of type ∀α. F(α, α) → G(α, α) for mixed-variance type expressions F and G are dinatural.

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•  $(x:A)gr_1(f)(y:B)$  if there exists w:A such that x = w and y = f w.

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  - $(x:A)gr_1(f)(f w:B)$  if there exists w:A such that  $(x:A)\langle A\rangle(w:A)$ .
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  - ► Since we only have pseudo-identities, these do not coincide in general.
- gr<sub>\*</sub>(f) := [f × id]<sup>-1</sup>⟨B⟩ defined using fibrational structure, gr<sub>!</sub>(f) := [id × f]<sub>!</sub>⟨A⟩ using derived opfibrational structure.

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  - ► Since we only have pseudo-identities, these do not coincide in general.
- $gr_*(f) := [f \times id]^{-1}\langle B \rangle$  defined using fibrational structure,  $gr_!(f) := [id \times f]_!\langle A \rangle$  using derived opfibrational structure.
- Subtlety: initial algebras use inverse image pseudographs, final coalgebras direct image ones.



# Summary

- $\lambda 2$  fibrations with comprehension property as natural models of  $\lambda 2$  (sound and complete).
- Comprehensive  $\lambda 2$  parametricity graphs form good models of relational parametricity for  $\lambda 2$ , with usual strong consequences.
- Reasoning in the models using a sound and complete type theory  $\lambda 2R$ , including inverse image relations.
- Proof of consequences of parametricity involves novel ingredients:
  - direct image relations via impredicative encoding,
  - no identity relations available, and
  - two different pseudo-graph relations (using inverse and direct images).
- Future work: Extend to e.g. dependent type theory.

Neil Ghani, Fredrik Nordvall Forsberg and Alex Simpson Comprehensive parametric polymorphism: categorical models and type theory. FoSSaCS 2016.

## Summary

